DEVELOPMENT BUREAU LIBRARY OF STANDARD GENERAL CONDITIONS OF TENDER

Important Notes:

- This set of General Conditions of Tender ("GCT") is applicable to contracts using NEC ECC HK Edition (July 2023).
- (2) Project office should refer to the latest technical circulars/memos on DEVB's website and Works Group Intranet Portal during their preparation of tender documents.
- (3) Project office should use the library with caution and, if any anomalies are found, notify their departmental contract advisors for clarification and, if necessary, seek further clarification with the DEVB subject officer [AS(WP4)8, telephone no. 3509 7308].
- (4) Double check the correct references are inserted in the relevant spaces.

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| | Clause | Remarks/Guidelines |
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| GCT | 1 Definitions | |
| (1) | For the purpose of these General Conditions of Tender and Special Conditions of Tender: | DEVB memo ref. (02B6J-01-6) in DEVB(W)510/10/01 dated 24.3.2011. |
| <u>(a)</u> | "e-TS(WC)" means the Electronic Tendering System for works contracts, a centralised on-line platform maintained by the Development Bureau (DEVB) specifically designed for conducting tendering exercises electronically. | |
| <u>(b)</u> | "Electronic Dissemination Packages" or "EDP" means the set of electronic files issued by <i>Client</i> for this tender exercise and which are available for eligible users to download via the e-TS(WC). | |
| <u>(c)</u> | "Digitally Signed", in relation to a file, means that such file is Digitally Signed as more particularly required under paragraph 4 of the " Requirements for <u>Tender Submission in Electronic Format</u> " in <u>Appendix [insert reference]</u> to the General Conditions of Tender. | |
| (<u>ad</u>) | <i>conditions of contract</i> means the core clauses and the clauses for main Option [<i>insert option</i>], secondary Options [<i>insert options</i>], W4 and Z of the Hong Kong Edition of NEC Engineering and Construction Contract (July 2023) published by Thomas Telford Limited.; | |
| (b e) | words and expressions used throughout shall, except when the context otherwise requires, have the same meaning assigned to them under-the <i>conditions of contract</i>;: (i) the <i>conditions of contract</i>, (ii) the Terms and Conditions of Use and Participation of the e-TS(WC), | |

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| | (iii) the User Manual of the e-TS(WC), and | |
| | (iv) the Licence Conditions for EDP; | |
| (e <u>f</u>) | terms identified in the Contract Data are in italics; | |
| (d g) | in addition, the following words and expression shall have the meaning hereby assigned to them: (i) "Project Manager designate" means# (ii) "unincorporated joint venture", "participant "incorporated joint venture" ar "shareholder" shall bear the same meaning as those given in paragraph 6 of th Environment, Transport and Works Burea Technical Circular (Works) No. 50/2002 of Contractors' Joint Venture. | # Full description of the " <i>Project</i> <i>Manager</i> designate" (including full name or, as the case may be, full description of the post and name of the current holder of the post address, telephone number and fax number) should be given in the |
| | (iii) "person" includes individual, corporation partnership, firm and unincorporated body. | n, |
| (2) | In these General Conditions of Tender and Speci Conditions of Tender, except where the contex otherwise requires, the singular shall include the plural and vice versa and any gender shall include all genders. | kt ne |

| | Clause | Remarks/Guidelines |
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| GCT 2 | Documents issued | |
| (1) | The <u>EDP consisting of the</u> following documents are issued to tenderers:can be downloaded from the e- <u>TS(WC) via this link [insert hyperlink]:</u> | |
| (a) | One copy of booklet containing: | * Delete/Modify as appropriate |
| - <u>(i(a</u>) | These Conditions of Tender comprising the General Conditions of Tender and the Special Conditions of Tender; | |
| (ii<u>(b</u>) | Articles of Agreement; | |
| - <u>(iii(c</u>) | Contract Data Part one; | |
| - <u>(iv(d</u>) | additional conditions of contract; | |
| *(<u>+e</u>) | Scope (including Particular Specification except the drawings as listed in Appendix [] [#] to the Particular Specification); and | # Insert as appropriate |
| *(vi<u>f</u>) | Site Information. | |
| (b) | One copy of booklet containing: | * Modify as appropriate |
| <u>-(i(g</u>) | Form of Tender; | |
| (ii<u>(h</u>) | Contract Data Part two; and | |
| <u>*(iii*(i</u>) | <i>bill of quantities</i> with General Preambles and Particular Preambles / <i>activity schedule</i> with Preambles. | |
| *(ej) | One set of drawings as listed in Appendix [] [#] to the Particular Specification. | Note: Where applicable, amend this to $(ej)(i)$ and add other item(s such as "Site investigation information" as $(ej)(ii)$, etc. |
| <u>(k)</u> | The Licence Conditions for EDP; and | |
| (<u>dl</u>) | One set of the Electronic Dissemination Package (EDP) consisting of:Supporting files containing information on using the files in sub-clauses (a) to ([j]) above. | |
| -(<u>i(2</u>) | Files containing the contents of the documents | |

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| | stated in sub-clauses (a), (b) and (c) above; A | |
| | tenderer must register an account on the e- | |
| | TS(WC) before it can download the EDP from the | |
| | e-TS(WC). By registering the account on the e- | |
| | TS(WC), the tenderer is deemed to have accepted | |
| | the Terms and Conditions of Use and Participation | |
| | of the e-TS(WC)) (available at [insert hyperlink]). | |
| (ii<u>(3</u>) | The Licence Conditions on using the files stated | |
| | in (i) of this sub-clause; and By downloading the | |
| | EDP from the e-TS(WC), the tenderer is deemed | |
| | to have accepted the Licence Conditions for the | |
| | EDP at Appendix [insert reference] to the | |
| | General Conditions of Tender. | |
| (iii)<u>(</u>4) | Supporting files containing information on using | |
| | Hard copy of the filesdocuments referred to in (i) | |
| | of this sub-clause. (1) will not be issued. | |

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| GCT 3 | Relevant documents not issued | |
| The follo | owing documents are not issued to tenderers: | |
| (a) | Standard documents, namely: | * Delete/Modify as appropriate |
| *(iii) *(iv) *(v) | Construction Contract (July 2023) published by Thomas Telford Limited., General Specification, Standard Method of Measurement, Construction Site Safety Manual (Chapter 3), The Hong Kong International Arbitration Centre Domestic Arbitration Rules, | The documents referred to in sub- clauses (a)(i) to (a)(vi) should be described in the Particular Specification with the full titles and editions. |
| *(vi) | The Government of the Hong Kong Special Administrative Region Construction Mediation Rules. | # Insert as appropriate |
| inspect | Drawings as listed in Appendix [] [#] to the Particular Specification. ocuments referred to in (a) and (b) above may be red, by appointment, at the <i>Project Manager</i> ate's office during normal office hours. | Note: where applicable, amend this to (b)(i) and add other item(s) such as "Site investigation information" as (b)(ii). |
| | The "Index Numbers of the Costs of Labour and Materials used in Public Sector Construction Projects (April 2021=100)", with base date at April 2021 and the "Average Daily Wages of Workers Engaged in Public Sector Construction Projects as Reported by Main Contractors (2021 Edition of Data Series)". The former are published in the Government of the Hong Kong Special Administrative Region Gazette, whereas the latter are available on the website of the Census and Statistics Department. | Ref. DEVB TC(W) No. 4/2021 |

| | Clause | Remarks/Guidelines |
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| GCT 4 | Submission of tender (Formula Approach) | |
| (1) (<u>2</u>) | The following documents shall be enclosed in a sealed envelope addressed, endorsed and deposited as required byPursuant to the Gazette Notification or Letter of Invitation to Tender or the Tender Notice ; , a tenderer must submit its tender in electronic format via e-TS(WC) . Attention of tenderers are drawn to the following requirements on submitting the tender electronically: | For tenders not using a marking scheme for tender evaluation. Ref. DEVB memos ref. (026NM 01-3) in DEVB(W) 546/17/01 dated 25.6.2010 and DEVB(W) 546/83/01 dated 11.11.2020. |
| (a) | One set of the documents referred to in General Conditions of Tender Clause GCT 2(b)* above with: [#] [Except as provided in sub-clause (b) below,] a tenderer must submit its tender via a valid account or sub-account in the e-TS(WC) under its own name. | * Delete/Modify as appropriate. [#] If joint venture is not allowed delete the text in square brackets and <u>sub-clause (b).</u> |
| (<u>i)(b)</u> [#] | The Form of Tender in hard copy format duly signed.In case a tenderer submits its tender in the form of an unincorporated joint venture, the tender must be submitted via a valid account or sub-account in the e-TS(WC) under the name of the lead participant as defined in Special Conditions of Tender Clause SCT [5](2). Only files submitted by the lead participant will be considered. Files submitted by any other participant will be discarded without opening. | Note: 1. Delete the option of electronic submission when the bill of quantities or activity schedule in the EDP have not been provided in Excel format 2. Attach an appendix to the GCT on the prevailing technical requirements for tender submission in electronic format (Appendix 4 to ETWB TCW No. 11/2005) * Delete/Modify as appropriate. |
| <u>(c)</u> | All files in the tender must comply with the "Requirements for Tender Submission in Electronic Format " in Appendix [<i>insert</i> <i>reference</i>] to the General Conditions of Tender. | |

| | Clause | Remarks/Guidelines |
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| <u>(d)</u> | If a file is required to be Digitally Signed pursuant to the General Conditions of Tender and Special Conditions of Tender, it must be Digitally Signed. Without prejudice to other requirements, a file which does not comply with this requirement will be discarded and not be considered. | |
| <u>(3)</u> | To electronically submit a tender, the required files to be uploaded under each section of the e- TS(WC) are as follow: | |
| <u>(a)</u> | "Upload Form of Tender" | |
| | (i) A Digitally Signed Form of Tender referred to in General Conditions of Tender Clause GCT 2(1) | |
| <u>(b)</u> | "Upload Tender" | |
| | (i) The following documents referred to in General Conditions of Tender Clause GCT 2(1)*: | <u>* Delete/Modify as appropriate</u> |
| _ | (I) The <u>duly completed and Digitally Signed</u> Contract Data Part two-in hard copy format duly completed and signed.; | |
| * (ii i) | (II) The *bill of quantities/*activity schedule in either hard copy format or electronic format [See Note 1] fully priced as to each *item/*activity, extended, cast and totalled as appropriate-; and | |
| (b) | A copy each of the documents submitted under sub-clauses (1)(a)(i), (1)(a)(ii) and *(1)(a)(iii) of this clause. | |
| (c) | (ii) The<u>All other</u> submissions that are required by the General Conditions of Tender (GCT) and Special Conditions of Tender (SCT). | |
| (2)<u>4)</u># | If a tenderer submitted the documents required under sub-clause (1)(a) of this clause in electronic | ** Works Departments shoul stipulate the prevailing rates whic |

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| format as allowed thereunder but failed to submit | may from time to time be |
| the required copy under sub-clause (1)(b) of this | prescribed by DEVB, FSTB and/or |
| clause, the tender opening team shall make the | PWTB. Ref: DEVB memo ref. |
| required copy in electronic format on the | (DEVB(W) 511/70/02 dated |
| tenderer's behalf. The tenderer may be asked to | 26.9.2022, FSTB memo ref. () in |
| bear the cost of making the copy. The cost of | TsyB T ADM/1-135/1/0 Pt.10 |
| duplication is currently set at \$53** per | dated 24.12.2018 and PWTB |
| electronic file and the material charge at \$1.0** | memo ref. (21) in ASD13/95200/ |
| per CD ROM and \$1.4** per 4.7GB DVD+/- | TEN/PTC/1 dated 16.9.2022. |
| R-In addition to the electronic submission, a | [Note: Please check the latest |
| tenderer may opt to submit its tender in hard copy | relevant memo. The |
| as well. Submission in hard copy is optional. | photocopying charge for tenders |
| If a tenderer opts to submit a hard copy tender in | opened by the CTB and PWTB are |
| addition to electronic submission, it shall submit a | \$12.0 per page and \$16.2 per page |
| hard copy of all files referred to in sub-clause (3) | respectively.]#Interim measure |
| above in a sealed envelope addressed, endorsed | allowing the tenderer to submit |
| and deposited as required by the Gazette | optional hard copy for 1 year |
| Notification or Letter of Invitation to Tender or the | from 1 July 2024 to 30 June 2025. |
| Tender Notice. For the purpose of the hard copy | |
| submission:- | |
| | |
| | |

- (a) If a file is required to be uploaded to a particular section of the e-TS(WC), such requirement does not apply to the hard copy submission;
- If a file is required to be Digitally Signed, (b) such requirement is deemed to have been complied with if its hard copy has been duly signed by a person authorised to sign Government contracts on the tenderer's behalf (or, in the case of an unincorporated joint venture, by a person authorised to sign Government contracts on each participant's behalf); and

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| | (c) If the signing of a file is required to be | |
| | witnessed, such requirement is deemed to | |
| | have been complied with if the witness has | |
| | signed on its hard copy in the capacity of | |
| | witness. | |
| (3)<u>5)</u># | If a tenderer submitted the documents required | |
| | under sub-clause (1)(a) of this clause in hard copy | |
| | format as allowed or required thereunder but | |
| | failed to submit the required copy under sub- | |
| | clause (1)(b) of this clause, the tender opening | |
| | team shall make the required photocopies on the | |
| | tenderer's behalf. The tenderer may be asked to | |
| | bear the cost of making the photocopies. The cost | |
| | of photocopying is currently set at \$12/\$16.2** | |
| | per copied page, which cost also covers | |
| | material. The hard copy submission will not be | |
| | opened or used except in the following | |
| | circumstances: | |
| | (a) a file submitted via the e-TS(WC) cannot be opened; or | |
| | (b) a file submitted via the e-TS(WC) is | |
| | contaminated with computer virus. | |
| | In such event, the file submitted via the e- | |
| | TS(WC) will be discarded and not be | |
| | considered. Without prejudice to General | |
| | Conditions of Tender Clause GCT 21 and other | |
| | provisions providing for invalidating a tender, the | |
| | Project Manager designate may use the hard copy | |
| | of the relevant file submitted, if available, for | |
| | tender evaluation if it considers that the tenderer's | |
| | action of submitting a file that cannot be opened or | |
| | is contaminated with computer virus is not | |
| | intentional. For the avoidance of doubt, even if it | |
| | is permissible under other provisions of this tender | |

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| | for the Project Manager designate to invite the | |
| | tenderer to re-submit the relevant file after close of | |
| | tender, the Project Manager designate shall | |
| | resort to the hard copy submission first. | |
| (4 <u>)6)#</u> | If a tenderer elects to submit the priced *bill of | |
| | quantities/*activity schedule in hard copy format | |
| | and where a hard copy has been supplied by the | |
| | Client, it should price the *bill of | |
| | quantities/*activity schedule on the hard copy | |
| | supplied by the <i>Client</i> . If a tenderer fails to do so, | |
| | any extra cost incurred by the Client in checking | |
| | whether the printed descriptions or figures of the | |
| | tender are identical to those in the hard copy | |
| | supplied by the Client is recoverable by the Client | |
| | as a debt. The tenderer whose tender has been so | |
| | checked shall pay such cost if demanded by the | |
| | Client.In case the hard copy of a relevant file is | |
| | used for tender evaluation: | |
| | (a) If that relevant file is an essential submission | |
| | required under General Conditions of Tender | |
| | Clause GCT 21, its hard copy must be | |
| | submitted on or before the original date set for | |
| | the close of tender or if this has been | |
| | extended, the extended date. Failure to do | |
| | so will render the tender invalid ; and | |
| | (b) If the relevant file is required to be Digitally | |
| | Signed but its hard copy does not satisfy sub- | |
| | clauses (4)(b) above, without prejudice to | |
| | other requirements, such document shall be | |
| | discarded and not further considered. | |
| | For the avoidance of doubt, for the parts of the | |
| | hard copy submission which are not used for | |
| | tender evaluation, it is not necessary to check | |
| | whether they have complied with any | |
| | requirements stipulated, whether essential or not. | |

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| (5) | Where a document may be submitted in hard | |
| | copy format or electronic format and if a tenderer | |
| | makes two submissions for the same document, | |
| | one in hard copy format and one in electronic | |
| | format, the submission in hard copy format shall | |
| | be discarded. | |
| (6) | All submissions in electronic format shall comply | |
| | with the requirements set out in Appendix [insert | |
| | reference] to the General Conditions of Tender | |
| | [See Note 2]. | |

| | Clause | Remarks/Guidelines |
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| GCT 4 | Submission of tender (Marking Scheme | e Approach) |
| (1) | The following documents shall be | Alternative Clause–GCT 4 for tenders using a |
| | placed in two separate envelopes as | marking scheme for tender evaluation. |
| | specified below and the two envelopes | Ref. DEVB memos ref. (026NM-01-3) in |
| | shall then be enclosed in a sealed | DEVB(W) 546/17/01 dated 25.6.2010 and |
| | envelope addressed, endorsed and | DEVB(W) 546/83/01 dated 11.11.2020. |
| | deposited as required by Pursuant to the | |
| | Gazette Notification or Letter of | |
| | Invitation to Tender or the Tender | |
| | Notice:, a tenderer must submit its | |
| | tender in electronic format via e- | |
| | <u>TS(WC).</u> | |
| (2) | Attention of tenderers are drawn to the | |
| | following requirements on submitting | |
| | the tender electronically: | |
| <u>(a)</u> | [#] [Except as provided in sub-clause (b) | [#] If joint venture is not allowed, delete the tex |
| | below,] a tenderer must submit its tender | in square brackets and sub-clause (b). |
| | via a valid account or sub-account in the | |
| | e-TS(WC) under its own name. | |
| <u>(b)</u> [‡] | [#] In an envelope clearly marked with | |
| | the tender reference and the words | |
| | <u>'Tender Price Documents'In case a</u> | |
| | tenderer submits its tender in the form | |
| | of an unincorporated joint venture, the | |
| | tender must be submitted via a valid | |
| | account or sub-account in the e-TS(WC) | |
| | under the name of the lead participant | |
| | as defined in Special Conditions of | |
| | Tender Clause SCT [5](2). Only files | |
| | submitted by the lead participant will be | |
| | considered. Files submitted by any | |
| | other participant will be discarded | |
| | without opening. | |
| <u>(c)</u> | All files in the tender must comply with | |
| | the "Requirements for Tender | |

| | | Clause | Remarks/Guidelines |
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| | App | omission in Electronic Format " in oendix [insert reference] to theeral Conditions of Tender. | |
| |) <u>If</u> a <u>Sigr</u> <u>Con</u> <u>Digi</u> othe <u>not</u> <u>be d</u> | a file is required to be Digitally ned pursuant to the General ditions of Tender and Special ditions of Tender, it must be itally Signed. Without prejudice to er requirements, a file which does comply with this requirement will liscarded and not be considered. | |
| (<u>3)</u> (a) | <u>requ</u> secti | electronically submit a tender, the nired files to be uploaded under each ion of the e-TS(WC) are as follow: load Form of Tender" | |
| (a) | <u>(i)</u> | One set <u>A Digitally Signed Form</u> of documents <u>Tender</u> referred to in General Conditions of Tender Clause GCT 2(b)* above with: <u>1) *.</u> | * –Delete/Modify as appropriate- |
| <u>(b)</u> | <u>"Up</u> | load Tender Price Document" | |
| | <u>(i)</u> | The following documents referred to in General Conditions of Tender Clause GCT 2(1)*: | |
| | <u>(</u>] | D The duly completed and Digitally Signed Contract Data Part two *(Section 2); | |
| | <u>(1</u> | <u>The *bill of quantities/*activity</u> <u>schedule fully priced as to each</u> <u>*item/*activity</u> , extended, cast and totalled as appropriate; | |
| | <u>(ii)</u> | The following submissions that are required by the General | Note 1: All submissions required from tenderers should be stated, quoting where the details of the |

| | Clause | Remarks/Guidelines |
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| | Conditions of Tender and Special | requirements are given, e.g. Special Conditions |
| | Conditions of Tender [See Note 1]: | of Tender Clause SCT 1. |
| | (<u>[]</u> (Clause GCT []) | |
| | (II)(Clause SCT []) | |
| —(i) | (III) The Form of Tender in hard copy format duly signed. If the tenderer elects to subcontract any of the item(s) stipulated as subject to Optional Pre-bid Arrangement in Part [A] of Appendix [insert reference] to the additional conditions of contract, the "Pricing Information for Optional Pre-bid Arrangement" for such item(s) (Clause SCT [18(3(a))]). [See Note 2] | Note: 1. Delete the option of electronic submission when the bill of quantities or activity schedule in the EDP have not been provided in Excel format _2. All submissions required from tenderer should be stated, quoting where the detail of the requirements are given, e.g. Clause SCT 1 of the Special Conditions of Tender 3:_Sub-clauses (c)(iiib)(ii)(III) to (+V) are applicable only if pre-bid arrangement in the special conditions of the special conditis conditis conditis cond |
| (ii) *(iii) | The Contract Data Part two *(Section 2) in hard copy format duly completed and signed. | adopted. Sub-clause (c)(iiib)(ii)(III) shall be deleted if no item is subject to optional pre-bid arrangement. Sub-clause (c)(ivb)(ii)(IV) and (vV) shall be deleted i no item is subject to mandatory pre-bid |
| - <u>(m</u>) | The *bill of quantities/*activity schedule in either hard copy format or electronic format [See Note 1] fully priced as to each *item/*activity, extended, cast and totalled as appropriate. | arrangement. 4. Sub-clause (e)(iii) to (v) are applicable only if pre-bid arrangement is adopted. Sub clause (e)(iii) shall be deleted if no item is subject to optional pre-bid arrangement |
| (b) | A copy each of the documents submitted under sub-clauses (1)(a)(i), (1)(a)(ii) and *(1)(a)(iii) of this clause. | Sub-clauses (e)(iv) and (v) shall be deleted if no item is subject to mandatory pre-bio arrangement. |
| (c) | The following submissions that are required by the General Conditions of Tender (GCT) and Special Conditions of Tender (SCT) [See Note 2]: | 5. Attach an appendix to the GCT on the prevailing technical requirements for tender submission in electronic formation (Appendix 4 to ETWB TCW No. 11/2005) |

| General Conditions of | of Tender |
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| Clause | Remarks/Guidelines |
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| Mandatory Pre-bid Arrangement" for such item(s) in either hard copy format or electronic format (Clause SCT [18(10)(a)(i)]). [See Note 3](vi) The Estimates for Tender Price Index (ETPI) in either hard copy format or electronic format fully priced as to each item, extended, cast and totaled as appropriate (Clause SCT [20]). [See Note 6] 2](V) If the tenderer proposes to undertake by itself any of the item(s) stipulated as subject to | Remarks/Guidelines |
| Mandatory Pre-bid Arrangementin Part [B] of Appendix [insertreference]to the additionalconditions of contract, the"Pricing Information forMandatory Pre-bid Arrangement"for such item(s) (Clause SCT[18(10)(a)(i)]). [See Note 2](VI) The Estimates for Tender PriceIndex (ETPI) fully priced as toeach item, extended, cast andtotaled as appropriate (ClauseSCT [20]). [See Note 3] | Note 3: Sub-clause (b)(ii)(VI) is only applicable for works tenders of Group C contracts issued under CEDD, HyD, WSD and |
| | DSD and adopting ECC Options A or C, where the tender price information are required for compilation of the CEWTPI. (DEVB TC(W) No. 6/2017) In another envelope clearly |

| Clause | Remarks/Guidelines |
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| | marked with the tender reference and the words 'Technical Submission' |
| (c) "Upload Technical Submission" | |
| (d)# (i)#Submissions on tenderer's experience, technical resources and technical proposals which are the subject of evaluation in accordance with the marking scheme at [Appendix to Notes to Tenderers] *[and more particularly described | * Delete/Modify as appropriate- #Where applicable, amend this to (dc)(i)(I) and add other items such as "Contract Data Part two (Section 1)" |
| (SCT) [See Note 2 <u>1</u>]: (I) (Clause GCT []) | |
| (II)(Clause GCT | |
| (III) (i)(GCT Clause []) (ii)(SCT Clause []) (iii) If the tenderer elects to subcontract any of the item(s) stipulated as subject to Optional Pre-bid Arrangement in Part [A] of Appendix [<i>insert reference</i>] to the <i>additional conditions of</i> <i>contract</i> , the expression of interest | Note 4: Sub-clause (c)(ii)(III) to (V) are applicable only if pre-bid arrangement is adopted. Sub-clause (c)(ii)(III) shall be deleted if no item is subject to optional pre-bid arrangement. Sub-clauses (c)(ii)(IV) and (V) shall be deleted if no item is subject to mandatory pre-bid arrangement. |

| Clause | Remarks/Guidelines |
|--|---------------------------|
| and evidence to demonstrate each | |
| of the proposed | |
| Subcontractor(s)/supplier(s)' | |
| compliance with the requirements | |
| for undertaking such item(s) in | |
| accordance with SCT [18(2)(b), (c) | |
| and (d)]. [See Note 4] | |
| (iv) If the tenderer proposes to | |
| subcontract any of the item(s) | |
| stipulated as subject to Mandatory | |
| Pre-bid Arrangement in Part [B] | |
| of Appendix [insert reference] to | |
| the additional conditions of | |
| contract, the expression of interest | |
| and evidence to demonstrate each | |
| of the proposed | |
| Subcontractor(s)/supplier(s)'- | |
| compliance with the requirements | |
| for undertaking such item(s) in | |
| accordance with SCT [18(2)(b), (c) | |
| and (d)][See Note 4] | |
| (v) If the tenderer proposes to | |
| undertake by itself any of the | |
| item(s) stipulated as subject to | |
| Mandatory Pre-bid Arrangement-in | |
| Part [B] of Appendix [insert | |
| reference] to the <i>additional</i> | |
| conditions of contract, evidence to | |
| demonstrate its compliance with | |
| the requirements for undertaking | |
| such_item(s)_in_accordance_with | |
| SCT [18(10)(a)(i)]. [See Note 4] | |
| (IV) If the tenderer proposes to | |
| subcontract any of the item(s) | |
| stipulated as subject to Mandatory | |

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| Clause | Remarks/Guidelines |
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| Pre-bid Arrangement in Part [B] | |
| of Appendix [insert reference] to | |
| the additional conditions of | |
| contract, the expression of interest | |
| and evidence to demonstrate each | |
| of the proposed | |
| Subcontractor(s)/supplier(s)' | |
| compliance with the requirements | |
| for undertaking such item(s) in | |
| accordance with SCT [18(2)(b), (c) | |
| and (d)]. [See Note 4] | |
| (V) If the tenderer proposes to | |
| undertake by itself any of the | |
| item(s) stipulated as subject to | |
| Mandatory Pre-bid Arrangement in | |
| Part [B] of Appendix [insert | |
| <u>reference] to the additional</u> | |
| conditions of contract, evidence to | |
| demonstrate its compliance with | |
| the requirements for undertaking | |
| such item(s) in accordance with | |
| <u>SCT [18(10)(a)(i)]. [See Note 4]</u> | |
| (2)4) If a tenderer submitted the documents | ** Works Departments should |
| [#] required under sub-clause (1)(a) of this | ** Works Departments should |
| clause in electronic format as allowed | stipulate the prevailing rates |
| thereunder but failed to submit the | supulate the prevaiing rates |
| required copy under sub-clause (1)(b) of this Clause, the tender opening team | which may from time to time be |
| shall make the required copy in | |
| electronic format on the tenderer's | prescribed by DEVB, FSTB |
| behalf. The tenderer may be asked to | |
| bear the cost of making the copy. The | and/or PWTB. Ref: DEVB |
| cost of duplication is currently set at | |
| \$54** per electronic file and the | memo ref. (032YD-01-3) in |
| material charge at \$1.1** per CD-ROM | $\mathbf{DEVP}(\mathbf{W}) = 511/70/02 \mathbf{d}_{240}\mathbf{d}_{340}\mathbf{d}_{$ |
| or \$1.3** per 4.7GB DVD+/-R.<u>In</u> | DEVB(W) 511/70/02 dated |

| Clause | Remarks/Guidelines |
|---|--|
| addition to the electronic submission, a | 2 7 2010 ESTD more wef () to |
| tenderer may opt to submit its tender in | 3.7.2019, FSTB memo ref. () in |
| hard copy as well. Submission in hard | TsyB T ADM/1-135/1/0 Pt. 10 |
| copy is optional. If a tenderer opts to | 15yb 1 ADW/1-155/1/0 1t. 1 |
| submit a hard copy tender in addition to | dated 24.12.2018 and PWTH |
| he electronic submission, it shall submit | |
| hard copy of all files referred to in sub- | memo ref. (21) in ASD13/95200 |
| lause (3) above in two separate | |
| envelopes as specified below and the two | TEN/OPEN/PTC/1 date |
| nvelopes shall then be enclosed in a | |
| sealed envelope addressed, endorsed and | 16.9.2022. [Note: Please cheel |
| deposited as required by the Gazette | |
| Notification or Letter of Invitation to | the latest relevant memo. Th |
| Cender or the Tender Notice:- | |
| | photocopying charge for tender |
| In an envelope clearly marked with the | |
| tender reference and the words | opened by the CTB and PWT |
| <u>'Tender Price Documents':</u> | |
| (i) Hard copy of all files referred | are \$12.0 per page and \$16.2 pe |
| to in sub-clauses (3)(a) and | |
| (b) above. | page respectively.]#Interim measur |
| | allowing the tenderer to submit options |
| In an envelope clearly marked with the | hard copy for 1 year from 1 July 2024 to 3 |
| tender reference and the words | June 2025. |
| 'Technical Submission': | |
| (i) Hard copy of all files referred | |
| to in sub-clause (3)(c) above. | |
| | |
| | |
| For the purpose of the hard copy | |
| submission:- | |
| | |
| (a) If a file is required to be uploaded to | |
| a particular section of the e-TS(WC), | |
| | |
| such requirement does not apply to | |

| | Clause | Remarks/Guidelines |
|---|---|--------------------|
| | (b) If a file is required to be Digitally | |
| | Signed, such requirement is deemed | |
| | to have been complied with if its hard | |
| | copy has been duly signed by a | |
| | person authorised to sign | |
| | Government contracts on the | |
| | tenderer's behalf (or, in the case of an | |
| | unincorporated joint venture, by a | |
| | person authorised to sign | |
| | Government contracts on each | |
| | participant's behalf); and | |
| | (c) If the signing of a file is required to | |
| | be witnessed, such requirement is | |
| | deemed to have been complied with | |
| | if the witness has signed on its hard | |
| | copy in the capacity of witness. | |
| | <u></u> | |
| _ | If a tenderer submitted the documents | |
| | required under sub clause (1)(a) of this | |
| | clause in hard copy format as allowed or | |
| | required thereunder but failed to submit | |
| | the required copy under sub-clause | |
| | (1)(b) of this clause, the tender opening | |
| | team shall make the required | |
| | photocopies on the tenderer's behalf. | |
| | The tenderer may be asked to bear the | |
| | cost of making the photocopies. The | |
| | cost of photocopying is currently set at | |
| | \$12/\$16.2** per copied page, which | |
| | cost also covers material. The hard copy | |
| | submission will not be opened or used | |
| | except in the following circumstances: | |
| | (a) a file submitted via the e-TS(WC) | |
| | cannot be opened; or | |

| | Clause |
|--------------|--|
| | (b) a file submitted via the e-TS(WC) is |
| | contaminated with computer virus. |
| | In such event, the file submitted via |
| | the e-TS(WC) will be discarded and |
| | not be considered. Without prejudice |
| | to General Conditions of Tender Clause |
| | GCT 21 and other provisions providing |
| | for invalidating a tender, the Project |
| | Manager designate may use the hard |
| | copy of the relevant file submitted, if |
| | available, for tender evaluation if it |
| | considers that the tenderer's action of |
| | submitting a file that cannot be opened or |
| | is contaminated with computer virus is |
| | not intentional. For the avoidance of |
| | doubt, even if it is permissible under |
| | other provisions of this tender for the |
| | Project Manager designate to invite the |
| | tenderer to re-submit the relevant file |
| | after close of tender, the Project |
| | Manager designate shall resort to the |
| | hard copy submission first. |
| | |
| 4 <u>)6)</u> | If a tenderer elects to submit the priced |
| <u>#</u> | *bill of quantities/*activity schedule in |
| | hard copy format and where a hard copy |
| | has been supplied by the Client, it |
| | should price the *bill of |
| | <i>quantities/*activity schedule</i> on the hard |
| | copy supplied by the <i>Client</i> . If a |
| | tenderer fails to do so, any extra cost |
| | incurred by the Client in checking |
| | whether the printed descriptions or |
| | figures of the tender are identical to |
| | those in the hard copy supplied by the |
| | Client is recoverable by the Client as a |

| General | Conditions | of | Tender |
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| Clause | Remarks/Guidelines |
|---|---------------------------|
| debt. The tenderer whose tender has | |
| been so checked shall pay such cost if | |
| demanded by the <i>Client</i> .In case the hard | |
| copy of a relevant file is used for tender | |
| evaluation: | |
| (a) If that relevant file is an essential | |
| submission required under General | |
| Conditions of Tender Clause | |
| GCT 21, its hard copy must be | |
| submitted on or before the original | |
| date set for the close of tender or if | |
| this has been extended, the extended | |
| date. Failure to do so will render | |
| the tender invalid; and | |
| (b) If the relevant file is required to be | |
| Digitally Signed but its hard copy | |
| does not satisfy sub-clauses (4)(b) | |
| above, without prejudice to other | |
| requirements, such document shall | |
| be discarded and not further | |
| considered. | |
| For the avoidance of doubt, for the parts | |
| of the hard copy submission which are | |
| not used for tender evaluation, it is not | |
| necessary to check whether they have | |
| complied with any requirements | |
| stipulated, whether essential or not. | |
| | |
| Where a document may be submitted in | |
| hard copy format or electronic format | |
| and if a tenderer makes two submissions | |
| for the same document, one in hard copy | |
| format and one in electronic format, the | |
| submission in hard copy format shall be | |
| discarded. | |

| Clause | Remarks/Guidelines |
|---|---------------------------|
| (6) All submission in electronic format shall comply with the requirements set out in Appendix [insert reference] to the General Conditions of Tender [See Note 5]. | |

| Clause | Remarks/Guidelines |
|---|---|
| GCT 5 Financial information | |
| The tenderer shall, upon written request by the <i>Project</i> <i>Manager</i> designate issued in accordance with General Conditions of Tender Clause GCT 25, submit to the <i>Project</i> <i>Manager</i> designate the financial information set out in Appendix [<i>insert reference</i>] to the General Conditions of Tender. The information shall be used for tender assessment only and shall not form part of the contract. | Remember to insert Appendix. Refer to SDEV's memo ref. DEVB(W) 546/70/02 dated 10.2.2021 for the for the standard Appendix to General Conditions of Tender Clause GCT 5. |
| | This is a non-essential submission. |

| Clause | Remarks/Guidelines |
|--|---------------------------|
| GCT 6 Unauthorised alterations | |
| Any unauthorised alteration or erasure or obliteration to the text of the documents may cause the tender to be disqualified. | |

| Clause | Remarks/Guidelines | |
|--|---------------------------|--|
| GCT 7 Discrepancies in the documents | | |
| The tenderer shall check the numbers of pages of all documents against page numbers given in summaries, and should it find any discrepancy or indistinctness, it must inform the <i>Project Manager</i> designate and have the same rectified. | | |

| Clause | Remarks/Guidelines |
|---|---------------------------|
| GCT 8 Clarification of documents | |
| Should the tenderer for any reason whatsoever be in doubt about the precise meaning of any item or figure contained in the documents, it shall seek clarification from the [*Project Manager/Supervisor] designate, via the e- TS(WC) or, with prior written agreement of the [*Project Manager/Supervisor] designate, by email. | |

| Clause | Remarks/Guidelines |
|--|---------------------------|
| GCT 9 Qualification of tender | |
| Any qualification of the tender may cause the tender to be disqualified. | |

| Clause | Remarks/Guidelines |
|---|---------------------------|
| GCT 10 Errors in tender submission | |
| 1) In the event of a tenderer discovering an error in its | |
| tender, or wishing to replace or supplement any file | |
| or a part of a file in its tender which has been | |
| submitted via the e-TS(WC), it may do so by | |
| submitting additional file(s) to the e-TS(WC) before | |
| the close of tender. | |
| (a) The additional file must comply with the | |
| requirements set out in General Conditions of | |
| Tender Clause GCT 4(2); | |
| (b) The additional file must contain a sufficiently | |
| clear statement and draw the Client's attention as | |
| to which error(s) is to be rectify and /or which | |
| specific file(s) or part(s) thereof in the tender is | |
| to be replaced or supplemented. If it is unclear | |
| to the Client which file(s) or part(s) thereof in the | |
| tender is to be rectified, replaced or | |
| supplemented, the part(s) of the additional file | |
| which is unclear will be discarded and not be | |
| considered; and | |
| (c) Subject to sub-clause (b) above, if there are | |
| multiple additional files purporting to replace or | |
| supplement the same file or the same part of a | |
| file in the tender, the latest uploaded additional | |
| file shall prevail. | |

| Clause | Remarks/Guidelines |
|---|--|
| In the event of a tenderer discoveringopts to submit an optional hard copy tender in addition to electronic submission and discovered an error in its tenderit after it has been deposited, attention in writing may be drawn to the error and an amendment submitted which, provided that the amendment shall have been deposited before the time fixed for receiptclose of tenders, shall be acceptedtender. | #Interim measure allowing the tenderer to submit optional hard copy for 1 year from 1 July 2024 to <u>30 June 2025.</u> |

| Clause | Remarks/Guidelines |
|---|---|
| GCT 11 Correction rules for tender errors | |
| Without prejudice to other General Conditions of Tender and Special Conditions of Tender, if errors and/or omissions are found in a tender during tender examination, such errors and/or omissions shall be dealt with in accordance with the principles and rules contained in Appendix [<i>insert reference</i>] [#] to the General Conditions of Tender. | # Include the principles and rules contained in ETWB TC(W) No. 41/2002 as an appendix to these General Conditions of Tender and insert appropriate reference. |

| Clause | Remarks/Guidelines |
|--|--|
| GCT 12 Tenders in Hong Kong dollars | |
| Unless otherwise provided, the tender shall be in Hong Kong dollars. | Note: Please refer to SPR 355 for tenders in foreign currencies. |

| Clause | Remarks/Guidelines |
|---|---------------------------|
| GCT 13 Tender negotiation | |
| The <i>Client</i> reserves the right to negotiate with any tenderer about the terms of the offer. | |

| Clause | | Remarks/Guidelines |
|--------|--|---------------------------|
| GCT 14 | Erratic pricing | |
| (1) | Without prejudice to the generality of the other General Conditions of Tender and Special Conditions of Tender, the <i>Client</i> may regard a tender as not being the most advantageous, irrespective of whether or not it is the lowest tender or the tender with the highest overall score, if in the <i>Client</i> 's opinion: | * Delete as appropriate |
| (a) | the * <i>bill of quantities/*activity schedule</i> of the tender have been priced erratically whether or not such erratic pricing is the result of the application of Appendix [<i>insert reference</i>] to the General Conditions of Tender; and | |
| (b) | the erratic pricing is such as to expose the <i>Client</i> to an unacceptable level of financial risk. | |
| (2) | For the purposes of this clause, " erratic pricing " means the situation where an *item/*activity or certain *items/*activities in *a/an * <i>bill of</i> <i>quantities/*activity schedule</i> are priced or structured in such a way as to suggest significant and unjustified:- | * Delete as appropriate |
| (a) | inconsistency, irregularity or non-uniformity as compared with *item/*activity or *items/*activities of the same or similar nature in the same * <i>bill of quantities/*activity schedule</i> or another * <i>bill of quantities/*activity schedule</i> submitted by the same tenderer in the same tendering exercise; or | |
| (b) | deviation from prevailing market prices in respect of the same or similar *item/*activity or *items/*activities. | |
| | The expression "priced erratically" shall be construed accordingly. | |

| | Clause | Remarks/Guidelines |
|-----|---|---------------------------|
| (3) | In determining prevailing market price, the <i>Client</i> may take into account the <i>Project Manager</i> designate's estimates, the average price of the same *item/*activity in other tenders submitted for the same tendering exercise, and/or the price of the same or similar *items/*activities in other tendering exercises after making adjustment for changes in price in accordance with inflation or deflation. | * Delete as appropriate |

| Clause | Remarks/Guidelines |
|--|---------------------------|
| GCT 15 Tender addenda | |
| Should the <i>Client</i> require any amendments, clarifications, or adjustments to be made to the tender documents for the purpose of tendering, the <i>Project Manager</i> designate <u>Client</u> will issue to every tenderer numbered addenda <u>prepared by</u> the <i>Project Manager</i> designate via the e-TS(WC) giving full details of such amendments etc. and the tender documents shall be taken as having been amended, clarified or adjusted accordingly upon the issue of these addenda. The tenderer | |
| shall acknowledge receipt of these addenda , via the e- TS(WC). | |

| | Clause | Remarks/Guidelines | |
|-------|---|--|--|
| GCT 1 | 6 Tender clarifications | | |
| (1) | The <i>Client</i> will not consider any clarification or information submitted by a tenderer after close of tender irrespective of whether or not the clarification or information is submitted at the invitation of the <i>Client</i> if such clarification or information would alter the tender in substance or give the tenderer an advantage over the other tenderers. | DEVB memos ref. DEVB(W 510/20/01 dated 10.9.2012 DEVB(W) 510/83/05 dated 9.11.2020 and DEVB(W) 510/30/02 dated 31.8.2022. | |
| (2) | Without prejudice to the generality of sub-clause (1) of this clause, where the <i>Project Manager</i> designate has after close of tender invited a tenderer to submit further information or clarification other than the Excepted Information, the tenderer shall submit the requested information or clarification <u>via the e-TS(WC)</u> within the time specified in such invitation or within such further time as the <i>Project Manager</i> designate may allow. | | |
| (3) | If the requested information or clarification is not provided within the time or further time as referred to in sub-clause (2) of this clause, the <i>Client</i> may proceed to evaluate the tender on an as is basis, but in the case where the information is in respect of [the letters referred to in General Conditions of Tender Clause GCT 26(3), Clause GCT 29(4) or Clause GCT 35(2), the <u>duly signedDigitally Signed</u> letter of consent and authorization referred to in General Conditions of Tender Clause GCT 34(1) or the information related to the "General statements" *and "X1 Price adjustment for inflation" in the Contract Data Part two required under General Conditions of Tender Clause GCT 4(<u>1)(a)(ii3)(b)(i)(1</u>)] ⁺ , the tender may be invalidated.– | ⁺ Depending on the provisions of the tender documents as adopted for any particular project, project office/ procuring department may include additional item(s) of information. The additional item(s) of information shall not include any Excepted Information (as defined in GCT 16(4)). * Delete as appropriate | |

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| | Clause | Remarks/Guidelines |
|-----|--|---------------------------|
| (4) | For the purposes of this clause, ""Excepted Information"" means the information required to be submitted upon written request by the <i>Project</i> <i>Manager</i> designate under General Conditions of Tender Clause GCT 25 and any information for which it is provided that a tenderer'stenderer's failure to submit on or before close of tender will render its tender invalid or result in its tender not being considered. | |

| Clause | Remarks/Guidelines |
|---|---------------------------|
| GCT 17 Unreasonably low bids | |
| Without prejudice to the generality of other General Conditions of Tender and Special Conditions of Tender, the | |
| <i>Client</i> may reject a tender which in the opinion of the <i>Client</i> is unreasonably low in terms of price and may therefore | |
| affect the tenderer's capability to carry out and complete the | |
| contract and/or deliver work of the quality required in accordance with the terms of the contract. | |

| | Clause | Remarks/Guidelines |
|--------|---|---|
| GCT 18 | Site investigation information | |
| (1) | Site investigation information *as listed in General Conditions of Tender Clause GCT 2(c) is issued with the tender documents/* as listed in General Conditions of Tender Clause GCT 3(b) is available for inspection by appointment at the <i>Project</i> <i>Manager</i> designate's office during normal office hours for the information of the tenderer. Any site investigation information, if issued, is to be returned to the <i>Project Manager</i> designate's office after submission of the tender. | Please refer to the guidelines in Section 11.5, Chapter 5 of the Project Administration Handbook for issuing information to prospective contractors in the pre- contract stage. * Delete as appropriate |
| (2) | Neither the <i>Client</i> nor its agents or representatives accept any responsibility whatsoever for the accuracy or sufficiency of any information provided under this clause and the onus is on the tenderer to carry out at its own expense any further enquiries and investigations it requires for its own information. | |

| | Clause | Remarks/Guidelines |
|--------|---|---|
| GCT 19 | Copyright | |
| (1) | The documents, plans, drawings or other materials forming part of the tender documents shall only be used by a tenderer or any person authorized or licensed by the tenderer for the purpose of preparing its tender. All other rights in the aforesaid materials are reserved by the relevant copyright owners. The tenderer shall be liable to the <i>Client</i> for breach of the foregoing by any such person as if the breach were committed by the tenderer. The tenderer shall indemnify and keep indemnified the <i>Client</i> against all losses, liabilities, damages, | Ref: ETWB TCW No. 26/2004 (Based on the SCT clause in the obsolete ETWB TCW No. 39/2002.) |
| | costs, legal costs, professional and other expenses of any nature whatsoever incurred or suffered by the <i>Client</i> whether direct or consequential arising out of any disputes or other claims or proceedings against the <i>Client</i> by any third party by reason of any breach of sub-clause (1) above by the tenderer or any person authorized or licensed by the tenderer. In this connection the tenderer shall submit with its tender a Letter of Indemnity in the form set out in Appendix [<i>insert reference</i>] to the General Conditions of Tender duly executed by the tenderer. Where the tenderer is an incorporated joint venture, it shall also submit with its tender a Letter of Indemnity in the form set out in Appendix [<i>insert reference</i>] to the General Conditions of Tender duly executed by all the shareholders of the incorporated joint venture. | |

| | Clause | Remarks/Guidelines |
|--------|---|--|
| GCT 20 | Management of Subcontractors | |
| (1) | The tenderer shall, upon written request by the <i>Project Manager</i> designate issued in accordance with General Conditions of Tender Clause GCT 25, submit to the <i>Project Manager</i> designate an outline Subcontractor Management Plan (SMP) which shall contain information as required in the Guidelines on Scope and Contents of the Subcontractor Management Plan at Appendix [] [#] to the <i>additional conditions of contract</i> . The outline SMP submitted shall not form part of the contract. | Note: This is usually a non- essential submission. However, if the submission of a SMP is considered an essential requirement (which is rare), sub- clause (1) will need to be re- worded to state that the SMP shall be submitted together with the submission of the tender. # Insert as appropriate |
| (2) | For the avoidance of doubt, the term 'Subcontractor' includes Subcontractor that is engaged to comply with the requirements of Specialist Contractor as set out in ACC Clause V:5. | |

| | Clause | Remarks/Guidelines |
|---|--|--|
| GCT 2 | 1 Essential submissionssubmission | |
| Special tender s submit original | t prejudice to other General Conditions of Tender or Conditions of Tender providing for invalidating a submitted by a tenderer, the failure of a tenderer to with its tender any of the following on or before the date set for the close of tender or, if this has been ed, the extended date shall render its tender invalid: | Ref: DEVB memo ref. (01YVQ- 01-2) in DEVB(W) 546/17/01 dated 3.4.2009 and DEVB memo ref. (03487-01-1) in DEVB(W) 510/83/05 dated 31.12.2019. |
| (i) | the Form of Tender required under <u>General</u> <u>Conditions of Tender</u> Clause GCT– $_4(_{+3})(a)(i)$ | Note: The items (i) and (ii) must be listed under this GCT. Please see also the "remark" for SCT. |
| (ii) | the * <i>bill of quantities/*activity schedule</i> required under <u>General Conditions of Tender</u> Clause GCT4(1)(a)(iii<u>3)(b)(i)(II</u>) | The items from (iii) to (ix) are used only in rare cases. Please refer to the individual SCT Clause for |
| *(iii) | the programme of works required under <u>Special</u> <u>Conditions of Tender</u> Clause [SCT 1] | guidance. These items are to be included as essential submissions if required to be |
| *(iv) | design required for part of the <i>works</i> not covered by the <i>Client's</i> design required under <u>Special</u> <u>Conditions of Tender</u> Clause [SCT 3] | submissions if required to b submitted by the tenderers. If these are not regarded as essential submissions they should not b |
| *(v) | Temporary Works design required under <u>Special</u> <u>Conditions of Tender</u> Clause [SCT 4] | required to be submitted.* Delete as appropriate. |
| *(vi) | Outline Safety Plan required under <u>Special</u> <u>Conditions of Tender</u> Clause [SCT 14] | |
| *(vii) | outline quality system for structural concrete required under <u>Special Conditions of Tender</u> Clause [SCT 6] | |
| *(viii) | Subcontractor Management Plan required under General Conditions of Tender Clause GCT 20 | |
| *(ix) | Outline Environmental Management Plan required under <u>Special Conditions of Tender</u> Clause [SCT 8] | |

1

| | Clause | Remarks/Guidelines |
|--------|---|---|
| *(X) | where the tenderer is an unincorporated joint venture, nomination of a lead participant required under <u>SCTSpecial Conditions of Tender</u> Clause [SCT 5] ¹ | Items (x) and (xi) must be listed for tenders that allow joint ventures to participate. |
| *(xi) | where the tenderer is a joint venture (whether incorporated or unincorporated), the proposed [^value / ^percentage participation and value] of works to be undertaken by each participant or shareholder in the joint venture in the [^JV Proforma / ^Technical Submission Envelope and JV Proforma in the Tender Price Documents Envelope respectively] as required under SCTSpecial Conditions of Tender Clause [SCT 5] ² | Select as appropriate: "value" and "JV Proforma" are for Formula Approach tender evaluation. "percentage participation and value" and "Technical Submission Envelope and JV Proforma in the Tender Price Documents Envelope respectively" are for Marking Scheme tender evaluation. Note on standard SCT Sub-clauses to be quoted: 1 SCT 5(2)(a) 2 SCT 5(4)A or 5(4)B |
| *(xii) | the Pricing Information for Mandatory Pre-bid Arrangement for each item stipulated as subject to Mandatory Pre-bid Arrangement in Part [B] of Appendix [<i>insert reference</i>] to the <i>additional</i> <i>conditions of contract</i> as required under <u>SCTSpecial Conditions of Tender</u> Clause–[SCT–18(3)(a) or SCT–18(10)(a)(ii)] whichever is applicable. | Item (xii) must be listed for contracts adopting Mandatory Pre- bid Arrangement. |

| Clause | Remarks/Guidelines | |
|---|--|--|
| GCT 22 Contractors under suspension | | |
| (1) If the tenderer or, if the tenderer is an unincorporated or incorporated joint venture, any participant of the unincorporated joint venture or shareholder of the incorporated joint venture is under suspension from tendering for Buildings* / Port Works* / Roads and Drainage* / Site Formation*/ Waterworks* category of the List of Approved Contractors for Public Works ("the List") (see Note 1), its tender will not be considered unless the suspension is lifted by the relevant works department or the Development Bureau by the date set for the close of tender, or if this has been extended, the extended date. | Ref: DEVB TC(W) No. 5/2023. This clause is designed for inputting one service category only. If a project requires invitation of contractors from more than one service category on the List of Approved Contractors for Public Works, DEVB and LAD(W) will have to be consulted on the non-standard amendments. For tenders adopting open tendering procedures, if the | |
| (2) If the tenderer or, if the tenderer is an unincorporated or incorporated joint venture, any participant of the unincorporated joint venture or shareholder of the incorporated joint venture is under voluntary suspension from tendering for Buildings* / Port Works* / Roads and Drainage* / Site Formation*/ Waterworks* category of the List (see Note 1) at the date of tender invitation but subsequently revokes the voluntary suspension without agreement in writing from either the relevant works department or the Development Bureau, its tender will not be considered. | project requires more than one service category on the List of Approved Contractors for Public Works or the List of Approved Suppliers of Materials and Specialist Contractors for Public Works to be included in this clause, DEVB and LAD(W) will have to be consulted on the non- standard amendments. <u>Note 1:</u> Insert the appropriate description in the event that the contract is invited from the List of Approved Suppliers of Materials and Specialist Contractors for Public Works. * Delete as appropriate. | |

| Clause | Remarks/Guidelines | |
|--|---|--|
| In addition to sub-clauses (1) and (2) above, where the tenderer or, if the tenderer is an unincorporated or incorporated joint venture, any participant of the unincorporated joint venture or shareholder of the incorporated joint venture, is – (a) under suspension from tendering for all categories of the List pursuant to paragraphs 5.2.3(c)(i) or 5.2.3(c)(vi) of the Contractor Management Handbook ("CMH"); or (b) under suspension from tendering for Buildings* / Port Works* / Roads and Drainage* / Site Formation*/ Waterworks* category of the List (see Note 1) arising from "poor site safety record in a category" specified in paragraph 5.2.3(d)(ii) of the CMH or the occurrence of a serious incident or conviction of site safety offences pursuant to DEVB Technical Circular (Works) Nos. 4/2022, 5/2023 and their subsequent updated versions, and if the aforesaid suspension is in force at any point of time between (i) the date set for close of tender or if this has been extended, the extended date; and (ii) the date on which the contract is awarded (both dates inclusive), then its tender will not be considered or eligible for award of the contract (see Note 2). | Note 2: Project Office shall check the status of tenderers, including any participant of the unincorporated joint venture or shareholder of the incorporate joint venture if applicable, in relation to suspension at appropriate junctures of time during the process of tender assessment and tender award. For details of the checking procedures, please refer to Section 7.6 of the Contractor Management Handbook. | |

| Clause | Remarks/Guidelines |
|---|----------------------------|
| GCT 23 Alternative tenders or designs uninvited | |
| Alternative tenders or designs for which no invitation has been made shall not be considered. | Ref.: DEVB TCW No. 3/2014. |

| Clause | Remarks/Guidelines |
|--|--|
| GCT 24 Offering gratuities | |
| The tenderer shall not and shall ensure that its agents and employees shall not offer or give any advantage, gratuity, bonus, discount, bribe or loan of any sort to any agent or employee of the <i>Client</i> or to the <i>Project Manager</i> designate or to any member of the <i>Project Manager</i> designate's staff. Any breach of or non-compliance with this clause by the tenderer shall, without affecting the tenderer's liability for such breach or non-compliance, invalidate its tender. | Ref: ETWB TCW No. 3/2004 "Ethical Commitment by Consultants and Contractors" is relevant. |

| Clause | Remarks/Guidelines |
|--|--|
| GCT 25 Submission of further information | |
| The tenderer shall upon written request by the <i>Project Manager</i> designate (which may be issued at any time after the tender closing date) submit to the <i>Project Manager</i> designate within 7 days of the date of issue of the written request or within reasonable time upon the written request the following documents: | DEVB memo ref. (02B6J-01-6) in DEVB(W)510/10/01 dated 24.3.2011. Note: Non submission will be regarded as withdrawal of tender. |
| <pre># [(a); (b); and (c)] Failure to comply with this clause by the tenderer shall render its tender invalid.</pre> | # Project office to specify the relevant documents with reference to the relevant GCT or SCT Clause, e.g. the financial information as referred to in General Conditions of Tender Clause GCT 5. Such documents should not contain information which will affect the evaluation process or the marking scheme. Note on standard GCT/SCT Subclauses may be quoted: GCT 5, GCT 20(1), GCT 27(2), GCT 28(2), SCT 5(2)(b) and SCT 5(3), SCT 5(5)(b), SCT 5(6)(c), (d) and (e), SCT 7(1), SCT 7(2), and/or SCT 8(1). |

| | | Clause | Remarks/Guidelines |
|--------|-------|---|--|
| GCT 26 | Ant | i-collusion | |
| (1) | (a) | Subject to sub-clause (2) of this clause, the tenderer shall not communicate to any person other than the <i>Client</i> the amount of the tender price or any part thereof until the tenderer is notified by the <i>Client</i> of the outcome of the tender exercise. | DEVB memo ref. (02B6J-01-6) in DEVB(W)510/10/01 dated 24.3.2011. |
| | (b) | Further to paragraph (a) of this sub-clause, the tenderer shall not fix the amount of the tender price or any part thereof by arrangement with any other person, make any arrangement with any person about whether or not <u>heit</u> or that other person will or will not submit a tender or otherwise collude with any person in any manner whatsoever in the tendering process. | |
| | (c) | Any breach of or non-compliance with this sub-clause by the tenderer shall, without affecting the tenderer's liability for such breach or non-compliance, invalidate its tender. | |
| (2) | appli | clause (1)(a) of this clause shall have no ication to the tenderer's communications in t confidence with: | |
| | (a) | its own insurers or brokers to obtain an insurance quotation for computation of tender price; | |
| | (b) | its consultants or subcontractors to solicit their assistance in preparation of tender submission; and | |
| | (c) | its bankers in relation to financial resources for the contract. | |

| | Clause | Remarks/Guidelines | |
|---------|---|---|--|
| (3) | The tenderer shall submit with its tender a duly signedDigitally Signed and witnessed letter in the form set out in Appendix [<i>insert reference</i>] ⁺ to the General Conditions of Tender. The signatory toFor the avoidance of doubt, the said letter shallmust be Digitally Signed by both the tenderer and its witness, save that the witness does not have to be a person authorized to sign Government contracts on the tenderer's behalfcontract. | * See below. It shall notNOT be included as an essential submission under GCT 21. | |
| (4) | The tenderer shall indemnify and keep indemnified the <i>Client</i> against all losses, damages, costs or expenses arising out of or in relation to any breach of or non-compliance with sub-clause (1) of this clause by the tenderer, including but not limited to additional costs due to price escalation, costs and expenses of re-tendering and other costs incurred. | | |
| Appen | dix [] | - | |
| То: | The Government of the Hong Kong Special Administrative Region (" Government ") | | |
| Date: | | | |
| Dear Si | r/Madam, | | |
| | Contract No.: [] | | |
| | Title: [] | | |
| | *[I/We], [(name of the tenderer) of (address of the r)] ¹ , refer to *[my/our] tender for the above contract. | * Delete as appropriate.1 Where the tenderer comprises | |

l

Clause

*[I/We] confirm that, before *[I/we] sign this letter, *[I/we] have read and fully understand this letter and the anticollusion clause in General Conditions of Tender Clause GCT 26.

*[I/We], represent and warrant that in relation to the tender for the above contract:

- (i) *[I/We], other than the Excepted Communications referred to in the last paragraph of this letter, have not communicated and will not communicate to any person other than the Government the amount of the tender price or any part thereof until *[I/we] have been notified by the Government of the outcome of the tender exercise;
- (ii) *[I/We] have not fixed and will not fix the amount of the tender price or any part thereof by arrangement with any person;
- (iii) *[I/We] have not made and will not make any arrangement with any person as to whether
 *[I/we] or that other person will or will not submit a tender; and
- (iv) *[I/We] have not otherwise colluded and will not otherwise collude with any person in any manner whatsoever in the tendering process.

*[I/We] shall indemnify and keep indemnified the Government against all losses, damages, costs or expenses arising out of or in relation to any breach of any of the representations and/or warranties above, including but not limited to damages for delay, costs and expenses of retendering and other costs incurred.

In this letter, the expression "**Excepted Communications**" means *[my/our] communications in strict confidence with:

(i) *[my/our] own insurers or brokers to obtain

Remarks/Guidelines

two or more persons or companies acting in partnership, joint venture or otherwise, this part in square brackets should be expanded to include the respective names and addresses of such persons or as the case may be companies.

2 Where the tenderer comprises two or more persons or companies acting in partnership, joint venture or otherwise, all such persons or as the case may be companies must sign. The signatory for each of such persons or companies shall be a person authorized to sign Government contracts on behalf of that person or as the case may be company.

| Clause | e | Remarks/Guidelines |
|---|--|---------------------------|
| an insurance quota tender price; | tion for computation of | |
| | nts or subcontractors to e in preparation of tender | |
| (iii) *[my/our] bankers resources for the cor | in relation to financial ntract. | |
| Signed for and on behalf of [name and position of the s | | |
| Name of Witness: | | |
| Signature of Witness: | | |
| Occupation: | | |

| Clause | | Remarks/Guidelines |
|--------|---|---|
| GCT 27 | Statement of convictions under the Immigratio | on Ordinance (Cap. 115) |
| (1) | A tender will not be considered if, during the 12- month period prior to the date set for the close of tender, or if this has been extended, the extended date, the tenderer has had three or more convictions in respect of separate incidents under Sections 17I or 38A of the Immigration Ordinance (Cap. 115) for employing illegal workers or for having illegal workers on any site under the tenderer's control, whether or not it has been formally suspended as a result of such convictions. Illegal workers shall mean any persons on construction sites who are illegal immigrants; or any persons who, being not lawfully employable by virtue of Section 17G(2) of the Immigration Ordinance, have committed an offence under Section 41 by contravening the conditions of stay in force in respect of them. If the tenderer is an unincorporated or incorporated joint venture, the tender will not be considered if any participant of the unincorporated joint venture or shareholder of the incorporated joint venture has had three or more such convictions during the 12-month period, whether or not that participant or shareholder has been formally suspended as a result of such convictions. | |
| (2) | The tenderer shall submit, subject to General Conditions of Tender Clause GCT 25/with the tender**, <u>either</u> a statement of all convictions under Sections 17I or 38A of the Immigration Ordinance (Cap. 115) for employing illegal workers or for having illegal workers for all sites under its control (whether they are sites under public or private contracts) during the 12-month^ period prior to the date set for the close of tender, | ** Delete as appropriate for Formula Approach / Marking Scheme tender evaluation. ^ [or other period specified by the <i>Project Manager</i> where |

| | Clause | Remarks/Guidelines |
|------|---|---|
| | or if this has been extended, the extended date, the details of which shall include the legislation violated, dates of offences, dates of convictions and the associated fine imposed by the court, site addresses, contract numbers and contract titles, <u>or</u> a statement of "no conviction". The statement shall be certified by a person authorized to sign | appropriate to cope with the assessment period for tender evaluation using the Marking Scheme.] |
| | Government contracts on the tenderer's behalf #[, or in case of EMSTF's tender, a person authorized to sign the Service Level Agreement.]. | # For use in tenders which EMSTF is eligible to bid |
| (3) | If the tenderer is an unincorporated or incorporated joint venture, each participant of the unincorporated joint venture or shareholder of the incorporated joint venture shall submit such a statement separately. | |
| (4) | Where the tenderer (including shareholders and participants in joint ventures) is a company it shall disclose any change of name made during the period of twelve months^ prior to the date set for the close of tender, or if this has been extended, the extended date, and shall include in its statement of all convictions any conviction recorded under any previous name. | [or other period specified by the <i>Project Manager</i> where appropriate to cope with the assessment period for tender evaluation using the Marking Scheme.] |
| #(5) | For tenders submitted by EMSTF, the letters of "non-compliance" issued by the relevant regulatory authorities shall also be treated as conviction records. | # For use in tenders which EMSTF is eligible to bid |

| Clause | | Remarks/Guidelines |
|--------|--|---|
| GCT 28 | Statement of convictions under the Employmen | nt Ordinance (Cap. 57) |
| (1) | A tender will not be considered if, during the 12- month period prior to the date set for the close of tender, or if this has been extended, the extended date, the tenderer has had three or more convictions in respect of separate incidents under the Employment Ordinance (Cap. 57) on any site under the tenderer's control, whether or not it has been formally suspended as a result of such convictions. If the tenderer is an unincorporated or incorporated joint venture, the tender will not be considered if any participant of the unincorporated joint venture or shareholder of the incorporated convictions during the 12-month period, whether or not that participant or shareholder has been formally suspended as a result of such convictions. | Ref. ETWB TCW No. 10/2004 and Clause 5.7.1 of the Contractor Management Handbook, with modifications in accordance with the definition in GCT 1(b). |
| (2) | The tenderer shall submit, subject to General Conditions of Tender Clause GCT 25/ with the tender**, either a statement of all convictions under the Employment Ordinance (Cap. 57) for all sites under its control (whether they are sites under public or private contracts) during the 12-month^ period prior to the date set for the close of tender, or if this has been extended, the extended date, the details of which shall include the legislation violated, dates of offences, dates of convictions and the associated fine imposed by the court, site addresses, contract numbers and contract titles, or a statement of "no conviction". The statement shall be certified by a person authorized to sign Government contracts on the tenderer's behalf #[, or in case of EMSTF's tender, a person authorized to sign the Service Level Agreement]. | Delete as appropriate for Formula Approach / Marking Scheme tender evaluation. [or other period specified by the <i>Project Manager</i> where appropriate to cope with the assessment period for tender evaluation using the Marking Scheme.] For use in tenders which EMSTF is eligible to bid |

| | Clause | Remarks/Guidelines | |
|------|---|---|--|
| (3) | If the tenderer is an unincorporated or incorporated joint venture, each participant of the unincorporated joint venture or shareholder of the incorporated joint venture shall submit such a statement separately. | | |
| (4) | Where the tenderer (including shareholders and participants in joint ventures) is a company it shall disclose any change of name made during the period of twelve months^ prior to the date set for the close of tender, or if this has been extended, the extended date, and shall include in its statement of all convictions any conviction recorded under any previous name. | [or other period specified by the <i>Project Manager</i> where appropriate to cope with the assessment period for tender evaluation using the Marking Scheme.] | |
| #(5) | For tenders submitted by EMSTF, the letters of "non-compliance" issued by the relevant regulatory authorities shall also be treated as conviction records. | # For use in tenders which EMSTF is eligible to bid | |

| Clause | | Clause | Remarks/Guidelines |
|--------|------------|---|---|
| GCT 29 | On | e tender only for holding companies, subsid | iaries or related parties |
| (1) | | ess otherwise provided in the Special nditions of Tender, no tenderer is permitted to mit more than one tender for each contract. | |
| (2) | (a) (b) | A holding company and all of its subsidiaries shall be allowed to submit only one tender from any one of the companies in the group. The existence of a holding-subsidiary | DEVB memo ref. DEVB(W) 510/10/01 dated 16.12.2014. |
| | | relationship shall be determined as at the date set for the close of tender, or if this has been extended, the extended date, and in accordance with the provisions in Sections 13 to 15 of the Companies Ordinance (Cap. 622). | |
| (2A) | (a) | For related parties, only one of them is permitted to submit a tender for the contract. | DEVB memo ref. DEVB(W) 510/83/05 dated 16.9.2020 |
| | (b) | For the purpose of this sub-clause (2A), an entity (including but not limited to sole proprietorship, partnership and limited company) and the tenderer are related parties if any of the following conditions applies as at the date set for the close of tender, or if this has been extended, the extended date: (i) A person has control or joint control of | |
| | | the entity; and that person or his relatives: | |
| | | (1) has control or joint control of the tenderer; | |
| | | (2) has significant influence over the tenderer; or | |
| | | (3) is a member of the key management of the tenderer or of a parent of the tenderer. | |

| Clause | Remarks/Guidelines |
|--|---------------------------|
| (ii) A person has control or joint control of the tenderer; and that person or his relatives: (1) has significant influence over the entity; or (2) is a member of the key management of the entity or a parent of the entity. | |
| (iii) The entity, or any member of a group of which it is a part, provides key management services to the tenderer or to the parent of the tenderer. | |
| (c) For the avoidance of doubt, the following definitions should be adopted when interpreting sub-clause (2A) above: - | |
| 'Control' means the power to govern the financial and operating policies of the tenderer/entity so as to obtain benefits from its activities. | |
| 'Joint control' means the contractually agreed sharing of control over the tenderer/entity, and exists only when the strategic financial and operating decisions relating to the tenderer/entity require the unanimous consent of the parties sharing control. | |
| 'Significant influence ' means the power to participate in the financial and operating policy decisions of the tenderer/entity but is not control or joint control over those policies | |
| ' Key management ' mean those persons having authority and responsibility for planning, directing and controlling the activities of a business, directly or indirectly, including any director (whether executive or otherwise) of that business. | |

| | Clause | Remarks/Guidelines |
|-----|---|---|
| | A person's ' relatives ' mean any family members of a person who may be expected to influence, or be influenced by, that person in their dealings with the tenderer or the entity. They may include but not limited to: (i) the person's domestic partner and children; (ii) children of the person's domestic partner; and | |
| (3) | (iii) dependants of the person or the person's domestic partner.Failure to observe the above conditions shall render all related tenders null and void and any such tenders shall not be considered. | |
| (4) | The tenderer shall submit with its tender a duly signedDigitally Signed and witnessed letter in the form set out in Appendix [<i>insert reference</i>] ⁺ to the General Conditions of Tender. The signatory toFor the avoidance of doubt, the said letter shallmust be Digitally Signed by both the tenderer and its witness, save that the witness does not have to be a person authorized to sign Government contracts on the tenderer's behalfcontract. | DEVB memo ref. DEVB(W) 510/83/05 dated 9.11.2020 ⁺ It shall not be included as an essential submission under GCT 21. |

Appendix []

To: The Government of the Hong Kong Special Administrative Region ("Government")

Date:

Dear Sir/Madam,

Contract No.: [

Title: [

1. *[I/We], the tenderer, [(name of the tenderer) of (address of the tenderer)]¹, refer to *[my/our] tender for the above contract.

1

1

2. *[I/We] confirm that, before *[I/we] sign this letter, *[I/we] have read and fully understand this letter and the requirements set out in General Conditions of Tender Clause GCT 29 on "One tender only for holding companies, subsidiaries or related parties".

3. *[I/We] represent and warrant that in relation to the restriction that no tenderer is permitted to submit more than one tender for the above contract as set out in GCT 29:

- (i) This tender is the only tender submitted by *[me/us];
- (ii) None of our holding company or subsidiary company has submitted a tender for the above contract. The existence of a holding-subsidiary relationship shall be determined as set out in GCT 29(2)(b); [this is only applicable where the tenderer is a company] and

* Delete as appropriate.

1

Where the tenderer comprises two or more persons or companies acting in partnership, joint venture or otherwise, this part in square brackets should be expanded to include the respective names and addresses of such persons or as the case may be companies.

2 Where the tenderer comprises two or more persons or companies acting in partnership, joint venture or otherwise, all such persons or as the case may be companies must sign. The signatory for each of such persons or companies shall be a person authorized to sign Government contracts on behalf of that person or as the case may be company.

| Clause | Remarks/Guidelines |
|---|---------------------------|
| (iii) None of our related parties, as more | |
| particularly defined in GCT 29(2A), has submitted a tender for the above contract. | |
| submitted a tender for the above contract. | |
| 4. *[I/We] shall indemnify and keep indemnified the | |
| Government against all losses, damages, costs or expenses | |
| arising out of or in relation to any breach of any of the | |
| representations and/or warranties above, including but not limited to damages for delay, costs and expenses of re- | |
| tendering and other costs incurred. | |
| | |
| | |
| | |
| Signed for and on behalf of [name of the tenderer] by [name | |
| and position of the signatory] ² : | |
| | |
| | |
| Name of Witness: | |
| Signature of Witness: | |
| Occupation: | |
| | |

| Clause | Remarks/Guidelines | |
|---|---|--|
| GCT 30 Admission, promotion and confirmation to the List of Approved Contractors for Public Works / the List of Approved Suppliers of Materials and Specialist Contractors for Public Works | | |
| A tender submitted by a contractor who has applied for admission or promotion to the category, class and/or group specified in the tender invitation or, in relation to a contract for which tenders are invited from confirmed contractors only, a tender submitted by a contractor who has applied for confirmed status will not be considered unless its application for admission or promotion or, as the case may be, confirmation is approved by the date set for the close of tender, or if this has been extended, the extended date. | DEVB memo ref. DEVB(W) 546/83/01 dated 10.8.2011 | |

| | Clause | Remarks/Guidelines |
|--------|---|---|
| GCT 31 | Eligibility of probationary contractors to tender | r and for the award of contracts |
| (1) | A tender submitted by a contractor who is on probation in the category(ies), class(es) and/or group(s) specified in the tender invitation will be considered as non-conforming if, at the date set for the close of tender or, if this has been extended, the extended date: | DEVB memo ref. DEVB(W) 546/83/01 dated 10.8.2011 and DEVB's memo ref. DEVB(W) 510/83/05 dated 14.7.2020 |
| | (a) the number and/or value of contracts or works that it holds (also counting the contract and its corrected forecast total of the Prices) exceeds the limits on number and/or value of contracts or works in the relevant category, class and group as stipulated in the then current version of the Contractor Management Handbook (the "Limits"); or (b) it is otherwise ineligible to tender according to the then current version of the Contractor Management Handbook (the "CMH"). | This clause is not applicable for tenders adopting open tendering procedures. |
| (2) | A tenderer who is on probation in the category(ies), class(es) and/or group(s) specified in the tender invitation will not be eligible for award of the contract if, at the date when the tender report is completed and signed for submission to the relevant authority for determination of the contract award: | |
| | (a) the number and/or value of contracts or works that it holds (also counting the contract and its corrected forecast total of the Prices) exceeds the Limits; or (b) it is otherwise ineligible for the award of the contract according to the version of the CMH current at the date set for close of | |

| | Clause | Remarks/Guidelines |
|-----|--|---|
| | tender or, if this has been extended, the extended date. | |
| (3) | In counting the number and/or value of contracts or works that a tenderer holds under sub-clauses (1)(a) and (2)(a) above, only the joint venture contract(s) held by the tenderer of which it is the lead participant or major shareholder will be counted. | Refer to DEVB's memo ref. DEVB(W) 510/83/05 dated 14.7.2020 |
| | For the purpose of this sub-clause (3): | |
| | (a) Lead participant means a participant of an unincorporated joint venture who has the highest percentage participation in the joint venture; and | |
| | (b) Major shareholder means a shareholder of an incorporated joint venture who has the highest percentage participation in the joint venture. | |
| (4) | Tenderers should note that where: (a) a probationary contractor has submitted tenders (including a tender for the contract) and attained the highest combined scores for more than one contract (including the contract) in the same category, class and group; and | Refer to DEVB's memo ref. DEVB(W) 510/83/05 dated 14.7.2020 |
| | (b) if the award of these contracts are determined at the same time but the award of two or more of these contracts to that probationary contractor will exceed the Limits, | |
| | the <i>Client</i> shall be entitled to determine which contract(s) is/are to be awarded to that | |

| Clause | Remarks/Guidelines |
|--|---------------------------|
| probationary contractor on the basis of a combination of tender awards of these contracts that would cost least to the <i>Client</i> . | |

| | Clause | Remarks/Guidelines |
|-------|--|---|
| GCT 3 | 1A Eligibility to tender and for the award of c | ontracts applicable to confirmed |
| Group | [B] ^{Note 1} contractors | |
| (1) | Where a tender is submitted by a confirmed Group [B]^{Note 1} contractor in the category(ies) and group(s) specified in the tender invitation:- (a) The rules on the eligibility to tender and for the award of contract for probationary Group [C]^{Note 2} contractors and the limits on the number and/or values of contract or works that may be undertaken by probationary Group [C]^{Note 2} contractors in the relevant category, all as set out in the Contractor Management Handbook (the "CMH"), shall apply to the confirmed Group [B]^{Note 1} contractor. The eligibility shall be checked at the date set for the close of tender or, if this has been extended, the extended date and at the date when the tender report is completed and signed for submission to the relevant authority for determination of the contract award in accordance with subclauses (1)(b) and (1)(c). (b) The submitted tender will be considered as non-conforming if, at the date set for the close of tender date and a the date of the date set for the close of tender date and a non-conforming if, at the date set for the close of tender date and a non-conforming if, at the date set for the close of tender date and a non-conforming if, at the date set for the close of tender date and a non-conforming if, at the date set for the close of tender date and a non-conforming if, at the date set for the close of tender or, if this has been extended, the set for the close of tender or, if this has been extended at the date set for the close of tender or, if this has been extended, the considered as non-conforming if, at the date set for the close of tender or, if this has been extended, the close of tender or, if this has been extended, the close of tender or, if this has been extended, the close of tender or, if this has been extended, the close of tender or, if this has been extended, the close of tender or, if this has been extended, the close of tender or, if this has been extended, the close of tender or, if this has been extended, the close of | DEVB memos ref. DEVB(W) 510/33/02 dated 31.8.2020 and 8.8.2022. |
| | (i) the number and/or the value of Group [C]^{Note 2} contracts or works that it holds (also counting the contract and its corrected forecast total of the Prices) exceeds the limits on number and/or value of contracts or works in the relevant category applicable to probationary Group [C]^{Note 2} contractors | |

| Clause | Remarks/Guidelines |
|---|---------------------------|
| as stipulated in the then current version of the CMH (the " Group [C] ^{Note 2} Limits "); or | |
| (ii) it is otherwise ineligible to tender, whether as a confirmed Group [B]^{Note 1} or a probationary Group [C]^{Note 2} contractor, according to the then current version of the CMH. | |
| (c) The tenderer will not be eligible for award of the contract if, at the date when the tender report is completed and signed for submission to the relevant authority for determination of the contract award: | |
| (i) the number and/or value of Group [C]^{Note 2} contracts or works that it holds (also counting the contract and its corrected forecast total of the Prices) exceeds the Group [C]^{Note 2} Limits; or | * Delete as appropriate. |
| (ii) it is otherwise ineligible for the award of the contract, whether as a confirmed Group [B]^{Note 2} or a probationary Group [C]^{Note 2} contractor, according to the version of the CMH current at the date set for close of tender or, if this has been extended, the extended date, | |
| in which case its tender shall still be considered to be a conforming tender for the purposes of tender assessment under *the marking scheme at Annex []/ the formula approach set out in the Notes to Tenderers NTT Clause []. | |

| Clause | Remarks/Guidelines |
|---|---------------------------|
| (2) Notwithstanding Special Conditions of Tender Clause [5] ^{Note 3} and in assessing whether a participant or shareholder in a joint venture (whether incorporated or unincorporated) is technically capable of undertaking the part of the works, the participant or shareholder who is a confirmed Group [B] ^{Note 1} contractor will be assessed as if it is a probationary Group [C] ^{Note 2} contractor. The participant or shareholder will be considered as technically capable of undertaking the part of the works, if the forecast value of works to be undertaken does not exceed the Group [C] ^{Note 2} Limits. If this participant or shareholder wishes to take up works in excess of the Group [C] ^{Note 2} Limits, the provisions in Special Conditions of Tender Clause 5(6)(d) ^{Note 3} shall apply. | |

| | Clause | Remarks/Guidelines |
|-----|---|---------------------------|
| (3) | In counting the number and/or the value of contracts or works that a tenderer holds under sub-clauses (1)(b) and (1)(c), only the joint venture contract(s) held by the tenderer of which it is the lead participant or major shareholder will be counted. | |
| | For the purpose of this sub-clause (3): | |
| | (a) Lead participant means a participant of an unincorporated joint venture who has the highest percentage participation in the joint venture; and | |
| | (b) Major shareholder means a shareholder of an incorporated joint venture who has the highest percentage participation in the joint venture. | |
| (4) | Tenderers should note that where: | |
| | (a) a confirmed Group [B]^{Note 1} contractor has submitted tenders (including a tender for the contract) and attained the highest combined scores for more than one Group [C]^{Note 2} contract (including the contract) in the same category; and | |
| | (b) if the award of these contracts are determined at the same time but the award of two or more of these contracts to that contractor will exceed the Group [C] ^{Note 2} Limits, | |
| | the <i>Client</i> shall be entitled to determine which contract(s) is/are to be awarded to that contractor on the basis of a combination of tender awards of these contracts that would cost least to the <i>Client</i> . | |

Notes:

Note 1 Please insert the appropriate group as follows:

| Contract | Group |
|--|---------|
| Works contract (other than term contract) with pre- | Group A |
| tender estimate more than the Group A tender limit but | |
| less than or equivalent to 110% of the Group A tender | |
| limit | |
| Works contract (other than term contract) with pre- | Group B |
| tender estimate more than the Group B tender limit but | |
| less than or equivalent to 110% of the Group B tender | |
| limit | |

Note 2 Please insert the appropriate group as follows:

| Contract | Group |
|--|---------|
| Works contract (other than term contract) with pre- | Group B |
| tender estimate more than the Group A tender limit but | |
| less than or equivalent to 110% of the Group A tender | |
| limit | |
| Works contract (other than term contract) with pre- | Group C |
| tender estimate more than the Group B tender limit but | |
| less than or equivalent to 110% of the Group B tender | |
| limit | |

Note 3

SCT 5 (Contractors' Joint Venture) in the Library of Standard Special Conditions of Tender.

| | Clause | Remarks/Guidelines |
|--------|--|---------------------------|
| GCT 32 | Ethical commitment | |
| (1) | The tenderer shall not, and shall procure that its employees, agents and subcontractors shall not, offer, solicit or accept an advantage as defined in the Prevention of Bribery Ordinance, Cap. 201 in connection with the tendering and execution of the contract. | |
| (2) | Failure to so procure or any act of offering, soliciting or accepting advantage referred to in (1) above committed by the tenderer or by an employee, agent or subcontractor of the tenderer shall, without affecting the tenderer's liability for such failure and act, result in its tender being invalidated. | |

| Clause | Remarks/Guidelines | |
|--|--|--|
| GCT 33 Tender cost | | |
| The <i>Client</i> shall not in any circumstances be liable for any costs, expenses and damages incurred or suffered by the | Note : Originated from the funding approval clause. | |
| tenderers in connection with the preparation and submission of their tenders, in the event that this tender exercise is cancelled on any ground[, including on the ground that funds are not available under Special Conditions of Tender Clause SCT 10].* | * Delete the words in square brackets if SCT 10 is not used. | |

| | Clause | Remarks/Guidelines |
|-------------------|--|---|
| GCT 34 records | | conviction records and site incident |
| | The tenderer shall submit with the tender a signed Digitally Signed letter in the form set of Appendix [<i>insert reference</i>] to the Gerr Conditions of Tender giving consent to the [<i>n</i> of the project office/procuring department obtain from all relevant government departments/bureaux, authorizing such rele government departments/bureaux to release make available to [<i>name of the procoffice/procuring department</i>] and giving fur consent to the [<i>name of the procoffice/procuring department</i>] to furnish to <i>Project Manager</i> designate information conviction records and site incident record more particularly described in sub-clauses (2) (3) below for the purpose of tender assessm [See Note .1, 2 and 3] | at in 510/10/01 dated 3.12.2012. eral ame to hent vant and bject ther bject the on s as and |
| | Information on the tenderer's conviction recipication includes all information relating to its conviction including the legislation violated, dates offences, dates of convictions and the association imposed by the court, site addresses, commumbers and contract titles, for offences under following ordinances (including all subsidilegislation made thereunder) and spectrum subsidiary legislation (if any): (a) [Set out the ordinances/specific legislation quoted in relevant GCTs SCTs] [See Note 1]; (b) [Land (Miscellaneous Provisi Ordinance (Cap. 28)][See Note 2]; and (c) [Other ordinances / specific subsidiary | ons, department should check the of ordinances / specific subsidiary legislation to be listed, taking into account the provisions of the tender documents as adopted for any particular project (including GCT and SCT). On the basis of the GCT and SCT promulgated by DEVB (via Technical Circulars and memos) as at 30 November 2012, the ordinances/specific subsidiary legislation to be covered include: |

office/procuring department if required for tender assessment in accordance with the provisions of the tender documents as adopted for any particular project]. [See Note 1]

- (a) Section 27 of the Public Health and Municipal Services Ordinance (Cap_-132);
- (b) Section 17I and Section 38A of the Immigration Ordinance (Cap-_115);
- (c) Employment Ordinance (Cap_-57);
- (d) Factories and Industrial Undertakings Ordinance (Cap._-59);
- (e) Occupational Safety and Health Ordinance (Cap.-_509);
- (f) Shipping and Port Control Ordinance (Cap._-313);
- (g) Merchant Shipping (Local Vessels) Ordinance (Cap._-548);
- (h) Air Pollution Control Ordinance (Cap._-311);
- (i) Noise Control Ordinance (Cap._-400);
- (j) Waste Disposal Ordinance (Cap.-_354);
- (k) Water Pollution Control Ordinance (Cap.-_358);
- (l) Dumping at Sea Ordinance (Cap.-_466);
- (m) Ozone Layer Protection Ordinance (Cap.-403);
- (n) Environmental Impact Assessment Ordinance (Cap._-499); and
- (o) Hazardous Chemicals Control Ordinance (Cap._–595).

Note 2-: To be included when the

| | Clause | Remarks/Guidelines |
|----------------|--|--|
| | | standard marking scheme set out in Appendix C1 to DEVB TCW No 4/2014 is adopted. Departments should check with the Highways Department for such conviction records. |
| (3) | Information on site incident records includes all information relating to any incident involving loss of life or serious bodily injury at any construction site in Hong Kong, regardless of whether the tenderer has or may have any involvement therein. For the purpose of this <u>c</u> Clause, "serious bodily injury" and "construction site" shall bear the same meanings as assigned to them under paragraph 10(g)(ii) and paragraph 10(a), respectively, of DEVB TC(W) No. 5/2023 dated 28 July 2023 or any subsequent update. | DEVB's memo ref. DEVB(W) 546/84/01 dated 10.11.2023 Note 3: This is not to be inserted as an essential submission pursuant to Clause GCT 21. However, contract drafter shall ensure that the submission of the Digitally Signed letter of consent and authorization is covered by GCT 16 as amended in accordance with DEVB's memo ref DEVB(W) 510/10/01 dated 10 September 2012 and entitled Tender Clarifications. |
| (4) | The letter shall be signed by a person authorized to sign Government contracts on the tenderer's behalf [See Note 3]. | <u>Note 3</u> : This is not to be inserted at an essential submission pursuant to Clause GCT 21. However, contrac drafter shall ensure that the submission of the duly signed letter of consent and authorization if covered by GCT 16 as amended in accordance with DEVB's memo ref DEVB(W) 510/10/01 dated 10 September 2012 and entitled Tender Clarifications. |
| (5) | If the tenderer is an unincorporated or incorporated joint venture, each participant of the unincorporated joint venture or shareholder of the incorporated joint venture shall submit such a duly signed letter. The signatory for such participant or shareholder shall be a person authorized to sign | |

| Clause | Remarks/Guidelines |
|--|---|
| Government contracts on behalf of that participant | |
| or, as the case may be, shareholder. | |
| Appendix | |
| To: [Name of the procuring department] | |
| Date: | |
| Dear Sir/Madam, | |
| Contract No. [] | |
| [Contract title] | |
| Letter of Consent and Authorization | |
| We hereby give consent to the [- <i>name of the project office/procuring department</i>] to obtain from all relevant government departments/bureaux and authorize such relevant government departments/bureaux to release and make available to [- <i>name of the project office/procuring department</i> -] the following information for the purposes of assessment of [our submission]* in this tendering exercise: | * Where GCT 34(5) applies, change to "the submission of [<i>name of the tenderer</i>]". |
| (1) Information on our conviction records (if any), including the legislation violated, dates of offences, dates of convictions and the associated fine imposed by the court, site addresses, contract numbers and contract titles, for offences under the following ordinances (including all sub-legislation made thereunder) and specific sub-legislation (if any): [-set out the legislation referred to in GCT $X(+2)$ -]; and | |
| (2) Information on site incident records, including all information relating to any incident involving loss of life or serious bodily injury at any construction site in Hong Kong, regardless of whether we have or may | |

| Clause | Remarks/Guidelines |
|---|--------------------|
| have any involvement therein. For the purpose of this sub-paragraph, "serious bodily injury" and "construction site" shall bear the same meanings as assigned to them under paragraph 10(g)(ii) and paragraph 10(a), respectively, of DEVB TC(W) No. 5/2023 dated 28 July 2023 or any subsequent update. | |
| We give further consent to the [<i>-name of the project office / procuring department-</i>] to furnish the information described in sub-paragraphs (1) and (2) above to [<i>-name of project consultant</i>], the <i>Project Manager</i> designate, for the same purposes. | |
| (Signed for and on behalf of the tenderer or, as the case may be, each participant or shareholder of the joint venture) | |

| Clause | | Remarks/Guidelines | |
|--------|---|---|--|
| GCT 35 | National security and public interest | | |
| (1) | Notwithstanding anything to the contrary in the tender documents, the <i>Client</i> reserves the right to disqualify a tenderer on the grounds that the tenderer or if the tenderer is an unincorporated or incorporated joint venture, any participant of the unincorporated joint venture or shareholder of the incorporated joint venture has engaged, is engaging, or is reasonably believed to have engaged or be engaging in acts or activities that are likely to constitute or cause the occurrence of offences endangering national security, or otherwise the disqualification is necessary in the interest of national security, or is necessary to protect the public interest of Hong Kong, public morals, public order or public safety. | DEVB memo ref. DEVB(W) 510/30/01 dated 31.8.2022. | |
| (2) | The tenderer shall submit with its tender a duly signedDigitally Signed and witnessed letter in the form set out in Appendix [insert reference] ⁺ to the General Conditions of Tender. The signatory toFor the avoidance of doubt, the said letter shallmust be Digitally Signed by both the tenderer and its witness, save that the witness does not have to be a person authorized to sign Government contracts on the tenderer's behalfcontract. | + It should NOT be included as an essential submission under GCT 21. | |

1

1

Appendix []

To: The Government of the Hong Kong Special Administrative Region ("Government")

Date:

Dear Sir/Madam,

Contract No.: [

Title: [

1. *[I/We], the tenderer, [(name of the tenderer) of (address of the tenderer)]¹, refer to *[my/our] tender for the above contract.

2. *[I/We] confirm that, before *[I/we] sign this letter, *[I/we] have read and fully understand this letter and General Conditions of Tender Clause GCT 35 on "National Security and Public Interest".

3. *[I/We], represent and warrant that *[I/We] have not engaged, *[am/are] not engaging and will not engage in acts or activities that are likely to constitute or cause the occurrence of offences endangering national security or which would otherwise be contrary to the interest of national security.

4. *[I/We] shall indemnify and keep indemnified the Government against all losses, damages, costs or expenses arising out of or in relation to any breach of any of the representations and/or warranties above, including but not limited to damages for delay, costs and expenses of retendering and other costs incurred.

Signed for and on behalf of [name of the tenderer] by [name and position of the signatory]²:

Modify/Delete as appropriate.

1 Where the tenderer comprises two or more persons or companies acting in partnership, joint venture or otherwise, this part in square brackets should be expanded to include the respective names and addresses of such persons or as the case may be companies.

2 Where the tenderer comprises two or more persons or companies acting in partnership, joint venture or otherwise, all such persons or as the case may be companies must sign. The signatory for each of such persons or companies shall be a person authorized to sign Government contracts on behalf of that person or as the case may be company.

| Name of Witness: | _ | |
|-----------------------|---|--|
| Signature of Witness: | - | |
| Occupation: | - | |
| | | |

| Clause | | Remarks/Guidelines |
|--|---|--|
| GCT 36 Cont | tingency sums, provisional sums and forecas | st total of the Prices |
| provisiona Grand Sur <i>schedule</i> , t | anding the inclusion of contingency sums, I sums and forecast total of the Prices in the mmary of the <i>*bill of quantities/*activity</i> he contingency sums, provisional sums and tal of the Prices shall not form part of the | * Delete as appropriate # Insert as appropriate |
| allowed as administra Procureme the Prices only and Prices wh The tende supplied to sums or f changes to events or assessed i | ngency sums and provisional sums are contingencies for the purpose of internal tion of the <i>Client</i> under the Stores and ent Regulations only. The forecast total of is included for tender evaluation purpose shall not affect the tendered total of the tich shall remain contractually binding. erer shall not rely on any information to it on the contingency sums, provisional forecast total of the Prices as estimated the Prices due to the effect of compensation other estimated payment which shall be n accordance with the relevant contract e contract is awarded to it. | |
| (3) The atter ACC Clau | ntion of the tenderer is drawn to se [II:4] [#] . | |

Requirements for Tender Submission in Electronic Format

The following are the requirements for submitting tender in electronic format via the e-TS(WC).

- 1. In this Appendix, unless the context otherwise requires-
 - (a) "**Digitally Signed**", in relation to a file, means that such file has been Digitally Signed in accordance with paragraph 4 in this Appendix.
 - (b) "Editable File" means a file in the ESP which is stored in file formats that are editable using mainstream computer applications for office automation and computer-aided drafting.
 - (c) "**Image File**" means a file in the ESP which is stored in file formats that capture the printed image of the document.
 - (d) **"Electronic Submission Package**" (or "**ESP**") means all files submitted by the tenderer for this tender exercise via the e-TS(WC)
 - (e) "ETO" means the Electronic Transactions Ordinance (Cap. 553).
 - (f) "**Organisational e-Cert**" means a recognized certificate issued by a recognized certification authority to an organisation and which identifies a person who is duly authorised by the organisation to use the recognized certificate. "Recognized certificate" and "recognized certification authority" shall bear the meanings as assigned to them under the ETO.
 - (g) "**Registered User**" means a person who holds a valid account on the e-TS(WC).
- 2. The ESP shall be submitted via e-TS(WC) and shall contain a "**README.rtf**" file in its root directory prepared in rich text format. This file shall contain at least the following information:
 - (a) general guidance on the use of the files in the ESP (e.g. the file naming convention, directory structure of the tender, software name and version for viewing the file);
 - (b) a list of all files submitted in the ESP with brief description of the contents of the file.
 - (c) information on the proper setting for viewing, editing, and printing the electronic files, including, without limitations-

*Delete as appropriate for ECC. Amend as appropriate for TSC.

- (i) the printer to be used for printing the files;
- (ii) the paper sizes;
- (iii) requirements for special fonts;
- (iv) any special printing instructions (e.g. the adjustment settings such as "shrink oversized pages to paper size", "expand small pages to paper size", etc. when printing PDF files); and
- (v) software name and version for viewing the files.
- (d) detailed instructions for viewing other type of electronic information or visualisation not covered in paragraph 5 of this Appendix.
- 3. During each upload, the maximum number of files and maximum file size that may be uploaded to each section of e-TS(WC) are set out in the table below. If the intended file(s) to be uploaded exceed the maximum number of files or maximum file size, the file(s) will not be successfully uploaded to e-TS(WC). The same restrictions apply for any subsequent uploads. Tenderers should take note of such restrictions and organise their uploads accordingly. For the avoidance of doubt, there is no restriction on the total number of uploads that may be made by a tenderer before the close of tender, nor on the total number of files so uploaded.

| Section of e-TS(WC) | Maximum number of file | Maximum file size in total |
|----------------------------|---------------------------|---|
| "Upload Form of Tender" | 1 | 100MB |
| "Upload Tender" | 20 | 10GB (each file size is limited to 500MB) |

[note to project office: Table below is for Formula Approach.]

[note to project office: Table below is for Marking Scheme Approach.]

| Section of e-TS(WC) | Maximum number of file | Maximum file size in total |
|-----------------------------------|---------------------------|--|
| "Upload Form of Tender" | 1 | 100MB |
| "Upload Tender Price Document" | 4 | 2GB (each file size is limited to 500MB) |
| "Upload Technical Submission" | 16 | 8GB (each file size is limited to 500MB) |

*Delete as appropriate for ECC. Amend as appropriate for TSC.

- 4. For the purpose of the General Conditions of Tender, Special Conditions of Tender and this Appendix, a file is Digitally Signed if and only if it complies with the following conditions: -
 - (a) It is signed using a digital signature as defined in section 2 of the ETO by the person(s) who is/are authorised to sign Government contracts. A person is authorised to sign Government contracts if the person is acting in the following capacity:
 - (i) if the tenderer is a sole proprietorship, the sole proprietor;
 - (ii) if the tenderer is a partnership, a partner of the partnership;
 - (iii) if the tenderer is a body corporate, a person who is duly authorized by the tenderer to sign Government contracts for and on behalf of the tenderer;
 - (iv) if the tenderer is an unincorporated joint venture, the sole proprietor, partner or authorized person, as the case may be, <u>of each and every of its participants</u>.
 - (b) For the avoidance of doubt, a file submitted by an unincorporated joint venture must be Digitally Signed by **all of its participants**;
 - (c) The digital signature is supported by an Organisational e-Cert issued to the tenderer or (for unincorporated joint venture) its participants, which identifies the person(s) who signs the file as authorised user of the Organisational e-Cert;
 - (d) The digital signature is generated within the validity of the Organisational e-Cert in accordance with section 6(2) of the ETO;
 - (e) The digital signature is used in accordance with the terms of the Organisational e-Cert;
 - (f) The digital signature is attached to the file in Public-key Cryptography Standards (PKCS#7) and (CAdES) such that the signed file is in .p7s format; and
 - (g) The Organisational e-Cert remains valid as at the earlier of (i) the date and time on which the file is submitted via the e-TS(WC); or (ii) the date and time on which the file is signed, if such information is generated in the form of a time stamp in the affixed digital signature.

(a) The following data formats shall be used for preparing the files in the ESP:-

| Type of files | Editable File | Image File |
|--|---|-----------------------------------|
| *bill of quantities / activity schedule | Excel (.xls or .xlsx) | Not applicable |
| Other Schedules | Excel (.xls or .xlsx) | Portable Document Format (PDF) |
| Text files | Rich Text Format; or Word (.doc or .docx) | PDF |
| Drawings | Microstation DGN format; AutoCAD format; Autodesk Drawing Exchange Format (DXF); or Initial Graphic Exchange Specification (IGES) | PDF |
| Building Information Modelling (BIM) | (Procurement Department to define and insert) | Not Applicable |
| Animation | HTML5 | Not applicable |
| Video, movie | MPEG-1 (ISO 11172) MPEG-4 (ISO 14496) | Not applicable |
| Slide presentation | PowerPoint (.ppt or .pptx) | PDF |
| Other types of files, including scanned documents or scanned drawings | Not Applicable | PDF |

The data formats and associated versions of files to be adopted in the EPP should adhere to the latest OGCIO Interoperability Framework which can be downloaded from the OGCIO website: -

https://www.ogcio.gov.hk/en/our_work/infrastructure/e_government/if/inter operability_framework.html

- (b) If more than one file formats are specified, files need to be provided/submitted in one of the specified formats only. If both Editable File and Image File are submitted, the Image File shall prevail.
- (c) A file shall not contain any computer instructions, including but not limited to,
 - (i) computer viruses; and

*Delete as appropriate for ECC. Amend as appropriate for TSC.

5.

- (ii) macros, scripts and fields that depend on the execution environment and the execution of which will cause changes to the file itself or the information system displaying the electronic record in respect of the file.
- 6. Tenderers shall prepare the files for **bills of quantities / activity schedule* using the files in Excel format in the Tender Documents provided by the *Client*. The **bill of quantities / activity schedule* shall only be submitted in Editable File format, i.e. the Excel format. Tenderers shall not modify cells that are locked and protected, failing which shall **constitute a qualified tender** and shall be handled in accordance with General Conditions of Tender Clause GCT 9.
- 7. Notwithstanding paragraph 6 above, the files for **bills of quantities / activity schedule* may contain simple arithmetic for automatic calculation of the totals and sub-totals. However, any reference made in the arithmetic formula shall be within the same file and the automatic calculation shall not be dependent on other files.
- 8. As the conversion of drawing files to PDF format may slightly distort the scale of the drawing elements, line scales in both horizontal and vertical directions should be included in drawings files to enable correction factors to be made to compensate for distortion.
- 9. If other type of electronic information, for example, visualisation or modelling, not covered in paragraph 5 above is submitted with the ESP, software necessary for viewing the information shall be supplied with the submission. The software provided must be free of virus and can be run in mainstream PC under Windows operating environment. Necessary licence to use the software must be provided free of charge to the Government for viewing the information. Detailed instructions on setup, usage and removal of the software provided must be included in the ESP.
- 10. The account user or any sub-account user of a Registered User may use its password to login the e-TS(WC) and make the submission. The person who login to the e-TS(WC) may be different from the person who Digitally Signs the ESP.

*Delete as appropriate for ECC. Amend as appropriate for TSC.

APPENDIX [] TO THE GENERAL CONDITIONS OF TENDER

Part A - Financial information required to be submitted in tender for public works contracts (for tenderers already on the List of Approved Contractors for Public Works and/or the List of Approved Suppliers of Materials and Specialist Contractors for Public Works)

- (1) Tenderers shall provide the following documents if they have not already been submitted to the Finance Section of Development Bureau before:
 - (a) management accounts covering the period between the latest set of audited financial statements up to a date not earlier than three months before the date of submission;
 - (b) a statement listing current or outstanding contracts held in hand with the *Client* and the private sector including but not limited to the Hospital Authority and the Housing Authority, both as main contractor or subcontractor, with total and outstanding contract sums, contract period and time required to complete the outstanding portion of the contract; and
 - (c) bank letters or agreements on existing banking facilities such as term loans and overdraft.

Copies of all statements submitted under paragraphs (a) to (c) above shall be certified true and correct by independent auditors or directors of the company. The sample statement format for (b) above can be downloaded from the website of Development Bureau.

- (2) All statements submitted should be prepared in English or Chinese.
- (3) The management accounts shall reflect the financial position of the company only (i.e. the accounts must not be consolidated accounts).
- (4) The management accounts include at a minimum statement of financial position (or balance sheet) and statement of comprehensive income (or profit and loss accounts) and must be in the same layout of the audited financial statements.
- (5) In the case of a joint venture, financial and supplementary statements as mentioned under paragraph (1) above for each participating company must be submitted.

Part B - Financial information required to be submitted in tender for public works contracts (for tenderers <u>NOT</u> on the List of Approved Contractors for Public Works and the List of Approved Suppliers of Materials and Specialist Contractors for Public Works)

- (1) Tenderers shall provide the following documents if they have not already been submitted to the Finance Section of Development Bureau before:
 - (a) the original or copies of annual financial statements for the last three accounting years audited and certified by certified public accountants;
 - (b) management accounts covering the period between the latest set of audited financial statements up to a date not earlier than three months before the date of submission;
 - (c) a statement of gains/(losses) arising from fair value adjustments for the latest audited financial statements;
 - (d) a statement giving details of significant events which occurred after the year end date of the latest audited financial statements which would affect the tenderer's financial position;
 - (e) a statement giving details of subsequent settlement of current assets after the year end date of the latest audited financial statements;
 - (f) a statement giving details of any off-balance sheet liabilities, including contingent liabilities, if not covered in the latest audited financial statements;
 - (g) a statement listing current or outstanding contracts held in hand with the *Client* and the private sector including but not limited to the Hospital Authority and the Housing Authority, both as main contractor or subcontractor, with total and outstanding contract sums, contract period and time required to complete the outstanding portion of the contract; and
 - (h) bank letters or agreements on existing banking facilities such as term loans and overdraft.

Copies of audited financial statements submitted under paragraph (a) shall be certified true by the directors of the company and all documents under paragraphs (b) to (h) above shall be certified true and correct by independent auditors or directors of the company. The sample statement format for (c), (d), (e) and (g) above can be downloaded from the website of Development Bureau.

- (2) All statements submitted should be prepared in English or Chinese.
- (3) The financial statements and the management accounts shall reflect the financial position of the company only (i.e. the accounts must not be consolidated accounts).

- (4) Audited financial statements include auditor's report, statement of financial position, statement of comprehensive income, statement of changes in equity and statement of cash flows, and notes comprising significant accounting policies and other explanatory information.
- (5) The latest audited financial statements must be for a period ending no more than 18 months before the submission date.
- (6) For the latest audited financial statements, if the auditor has issued a disclaimer or adverse audit opinion in the auditor's report, the company shall be deemed as failing to submit the required financial statements.
- (7) The management accounts include at a minimum statement of financial position (or balance sheet) and statement of comprehensive income (or profit and loss accounts) and must be in the same layout of the audited financial statements.
- (8) In the case of a joint venture, financial and supplementary statements as mentioned under paragraph (1) above for each participating company must be submitted.

APPENDIX [] TO THE GENERAL CONDITIONS OF TENDER

Correction Rules for Tender Errors (General Conditions of Tender Clause GCT 11)

Section 1 – General

- 1.1 For errors which have been specifically addressed in the General or Special Conditions of Tender, the errors shall be dealt with strictly in accordance with the relevant General or Special Conditions of Tender. The following rules shall only apply where the errors have not been specifically addressed in the General or Special Conditions of Tender.
- 1.2 Subject to paragraph 1.1 above, where a correction rule provided in Section 2 below is applicable, the errors shall be corrected in accordance with that rule.
- 1.3 In the event no written correction rule is applicable,
 - (i) where ambiguity as to the tenderer's true intention exists, it shall be construed by the tender examiner by reference to the best practice or his best judgment; and
 - (ii) where errors relate to factual information and there is no room for manipulation by a tenderer by virtue of subsequent correction; or where the correction of such errors would not change the tender in substance or the quality of the tender which would give the tenderer an advantage over the other tenderers, the concerned tenderers may be permitted to correct the errors. In other cases, the tender shall be assessed with the errors as submitted.
- 1.4 For the purposes of these rules, errors include omissions.

| Notes: Four sets of similar but slightly different correction rules are set out in |
|--|
| Section 2. Their applications are shown in the table below: - |

| Set | Ι | II | III | IV |
|-------------------------|---|--|-------------|----------------------|
| Similar to conventional | Lump sum | Lump sum | Re- | Re- |
| | contract | contract | measurement | measurement |
| with | activity | bill of | activity | bill of |
| | schedule | quantities | schedule | quantities |
| NEC | Option A (May use for Option C in building contracts) | (May use for Option B & D in building contracts) | Option C | Option B Option D |

[Set I] *Section 2 – Errors in pricing document [for use in contracts with activity schedule and correction rules similar to those for conventional lump sum contracts,]

- 2.1 The tendered total of the Prices stated in the Form of Tender shall remain unchanged irrespective of any corrections made hereinafter. If there is a discrepancy between the amount in "words" and in "figures" for the tendered total of the Prices in the Form of Tender, the one that agrees with the figure stated in the Grand Summary of the activity schedule shall be taken as the tendered total of the Prices. If neither one agrees with the figure stated in the Grand Summary, the amount in "figures" shall be taken as the tendered total of the Prices. Where either the amount in "words" or the amount in "figures" is left blank or illegible, the remaining one shall be taken as the tendered total of the Prices. If the amount in "words" and the amount in "figures" for the tendered total of the Prices in the Form of Tender are both left blank or illegible, the tender is invalid. Subject to the conditions abovementioned, the tendered total of the Prices stated in the Form of Tender shall take precedence over the tendered total of the Prices stated in the Contract Data Part two and the Grand Summary and the same figure shall be correctly reinstated in the latter two documents for any discrepancy. If the tenderer has not inserted the tendered total of the Prices in Contract Data Part two. the tendered total of the Prices in Contract Data Part two shall be corrected to the tendered total of the Prices stated in the Form of Tender.
- 2.2 If there are errors in the *activity schedule*, they shall be corrected as follows:
 - (i) Errors in casting of page totals in a schedule of the *activity schedule* shall be corrected and the rectified amounts shall be carried to the Grand Summary.
 - (ii) The extension may be amended to agree with the quantity and rate or (dependent on the judgement of the tender examiner) the rate may be amended to agree with the quantity and the extension but in no case will the alteration of both rate and extension be permitted for any re-measurement item with provisional quantity. [Optional]
 - (iii) Indistinct rates shall be clarified to agree with the quantity and the extension for any re-measurement item with provisional quantity. [Optional]
 - (iv) Where there is no price or an illegible price inserted against any activity in the *activity schedule*, it shall be deemed that the price for the activity has been allowed in prices entered elsewhere in the *activity schedule* and the price shall therefore be marked as zero.
 - (v) If one or more pages of the *activity schedule* are found missing, subject to subparagraph (vii) below, the prices for all activities in the missing page(s) shall be marked as zero and the prices shall be deemed to have been allowed for in prices entered elsewhere in the *activity schedule*.
 - (vi) Should there be a tender addendum introducing changes to the *activity schedule* but the changes have not been incorporated into the *activity schedule* by a tenderer, then the changes as required by the tender addendum shall be incorporated into the tenderer's *activity schedule* and the prices for those new activities or modified activities shall be determined as follows:

| Where new activity is introduced | Price for the new activity shall be marked as zero and the price of the activity shall be deemed to have been allowed for in prices entered elsewhere in the <i>activity schedule</i> , unless it is an activity pre-priced by the <i>Client</i> . For a pre-priced activity, the same price in the addendum shall be used. |
|--|---|
| Where the activity description is changed | If a price has been entered against the original activity, the same price shall be used. |
| Where an activity is deleted | That activity shall be deleted in accordance with the addendum. |
| Where the provisional quantity for any re-measurement item is changed [Optional] | If a rate has been entered against the original item, the same rate shall be used. |
| Where the measurement unit is modified for any re- measurement item [Optional] | If a rate has been entered against the original item, the rate shall be adjusted to fit in with the new unit. |

- (vii) Where the *activity schedule* contains any pre-priced activities and the tenderer fails to include any of them correctly in its *activity schedule*, then such sum (or sums) shall be correctly reinstated in the *activity schedule*.
- (viii) Where the total of the prices of the additional activities in any schedule of Schedule No. [XX][#] to [XX][#] of the *activity schedule* entered by the tenderer exceeds [10%]^ of the total of the prices for that schedule, the total of the prices of the additional activities in the respective schedule shall be corrected to the equivalent value of [10%]^ of the total of prices for that schedule. The difference between the corrected prices and the original prices entered by the tenderer for that schedule shall then be distributed to all activities in that schedule in proportion according to the original prices of those activities entered by the tenderer. [Optional]

Please insert appropriate schedule reference.

^ Please insert appropriate percentage to suit the project specific consideration.

- 2.3 After correcting all the errors in accordance with paragraph 2.2 above, the difference between:
 - (i) the tendered total of the Prices stated in the Form of Tender minus the total of the prices for any pre-priced activities, and
 - (ii) the corrected total of all schedules of the *activity schedule* minus the total of the prices for any pre-priced activities

shall be calculated as a plus percentage of the sum at 2.3(ii) if 2.3(i) is greater than 2.3(ii), or as a minus percentage of the sum at 2.3(ii) if 2.3(i) is less than 2.3(ii).

- 2.4 Subject to paragraph 2.3, the plus or minus percentage shall be applied to the tendered prices including those corrected under paragraph 2.2 but excluding all pre-priced activities.
- 2.5 After application of paragraphs 2.1 to 2.4 above, if Schedule No. [X]^{**} contains additional activities which are not related to MiC works, the prices of those additional activities not related to MiC works shall be deducted from the total of the prices for Schedule No. [X]^{**}. The difference between the corrected total of prices and the total of the prices before adjustment for Schedule No. [X]^{**} shall then be distributed to other activities in all schedules of the activity schedule, except for Schedule No. [X]^{**#}[and [Y]^{**}] and those pre-priced activities, in proportion according to the prices of those activities before application of this rule. Those additional activities not related to MiC works shall be deleted and their prices shall be deemed to have been allowed for in elsewhere in the activity schedule. [Clause for adoption of milestone payment of MiC works]

** Please insert the Schedule No. X and Y for MiC and MiMEP works respectively # delete if there is no MiMEP works involved

After application of paragraphs 2.1 to 2.4 above, if Schedule No. $[Y]^{\underline{**}}$ contains additional activities which are not related to MiMEP works, the prices of those additional activities not related to MiMEP works shall be deducted from the total of the prices for Schedule No. $[Y]^{\underline{**}}$. The difference between the corrected total prices and the total of the prices before adjustment for Schedule No. $[Y]^{\underline{**}}$ shall then be distributed to other activities in all schedules of the activity schedule, except for Schedule No. $[Y]^{\underline{**}}$ and those pre-priced activities, in proportion according to the prices of those activities before application of this rule. Those additional activities not related to MiMEP works shall be deleted and their prices shall be deemed to have been allowed for in elsewhere in the activity schedule. [*Clause for adoption of milestone payment of MiMEP works*]

** Please insert the Schedule No. X and Y for MiC and MiMEP works respectively # delete if there is no MiC works involved

After application of paragraphs 2.1 to 2.4 above, if the corrected total of the prices for Schedule No. [XX]^{##} of the *activity schedule* exceeds [YY%]^^ of the corrected total of the prices for all schedules of the *activity schedule*, then the corrected total of the prices for Schedule No. [XX]^{##} shall be further adjusted to the equivalent value of [YY%]^^ of the corrected total of the prices for all schedules. The prices of the activities in Schedule No. [XX]^{##}, except for those pre-priced activities, shall be adjusted in proportion according to the prices of those activities before application of this rule. The difference between the adjusted prices and the prices before adjustment for Schedule No. [XX]^{##} shall then be distributed to other activities in all schedules of the *activity schedule*, except for Schedule No. [XX]^{##} and those pre-priced activities, in proportion according to the prices before application of this rule. [*Optional clause for prevention of front loading scenario but satisfying the cash flow requirement if applicable*]

Please insert appropriate schedule reference.

^^ Please insert appropriate percentage to suit the project specific consideration.

- 2.52.6 The tender examiner may adjust the corrected prices for any round-off error in order to match with the tendered total of the Prices stated in the Form of Tender.
- 2.62.7 After correcting errors in accordance with the foregoing rules, the summary of all schedules of the *activity schedule* shall be endorsed as follows:

"In accordance with the correction rules set out in Appendix [*insert appropriate reference*] to the General Conditions of Tender as referred to in the General Conditions of Tender Clause GCT 11, all the prices inserted by the tenderer in Schedules No. [...] and [...] to [...] of the *activity schedule* [except those pre-priced activities] shall be corrected by% and% respectively for all purposes for which those prices may be used under the contract."

- 2.7A After correcting all the errors in the manner abovementioned, the tendered total of the Prices in the Grand Summary shall be corrected to the corrected total of all schedules of the *activity schedule* with adjustment in accordance with paragraph 2.7 above incorporated.
- 2.72.8 If error is found in the *fee percentage*, it shall be corrected as follows:
 - (i) If the *fee percentage* inserted by the tenderer in the Contract Data Part two exceeds the cap of *fee percentage* as stated in the Contract Data Part two, it shall be corrected to the cap of *fee percentage*. The Grand Summary including the forecast total of the Prices shall be adjusted accordingly.
 - (ii) If the *fee percentage* inserted by the tenderer in the Contract Data Part two is a negative percentage or lower than the *minimum fee percentage*, it shall be corrected to the *minimum fee percentage*. The Grand Summary including the forecast total of the Prices shall be adjusted accordingly.
 - (iii) If the tenderer has not inserted a *fee percentage* in the Contract Data Part two, the *fee percentage* shall be corrected to the *minimum fee percentage*. The Grand Summary including the forecast total of the Prices shall be adjusted accordingly.
 - (iv) If the *fee percentage* inserted by the tenderer in the Contract Data Part two is illegible, the *fee percentage* shall be corrected to the *minimum fee percentage*. The Grand Summary including the forecast total of the Prices shall be adjusted accordingly.
- 2.82.9 Where the Grand Summary contains contingency sum for Defined Cost for compensation events, *provisional sum for price adjustment for inflation under secondary Option X1, *provisional sum for provision of Assistant Clerical Officer (Labour Relations) and *provisional sum for performance-tied payment item under Pay for Safety Performance Merit Scheme (PFSPMS) under secondary Option X20 or any combination of them and the tenderer fails to include any or all of them correctly in the Grand Summary, then such sum (or sums) shall be correctly reinstated in the Grand Summary.

2.92.10 Where the Grand Summary contains contingency sum for Fee for compensation events, and sub-total of all contingency sums and provisional sums or any combination of them

and arithmetical errors are found, then errors in calculation of such sum (or sums) shall be corrected in the Grand Summary.

- 2.102.11 After correcting all the errors in the manner abovementioned, the forecast total of the Prices shall be corrected to the sum of (i) the tendered total of the Prices in the Form of Tender and (ii) the sub-total of all corrected contingency sums and corrected provisional sums in the Grand Summary.

* Modify where appropriate

[Set II] *Section 2 – Errors in pricing document [for use in contracts with bill of quantities and correction rules similar to those for conventional lump sum contracts]

- 2.1 The tendered total of the Prices stated in the Form of Tender shall remain unchanged irrespective of any corrections made hereinafter. If there is a discrepancy between the amount in "words" and in "figures" for the tendered total of the Prices in the Form of Tender, the one that agrees with the figure stated in the Grand Summary of the *bill of* quantities shall be taken as the tendered total of the Prices. If neither one agrees with the figure stated in the Grand Summary, the amount in "figures" shall be taken as the tendered total of the Prices. Where either the amount in "words" or the amount in "figures" is left blank or illegible, the remaining one shall be taken as the tendered total of the Prices. If the amount in "words" and the amount in "figures" for the tendered total of the Prices in the Form of Tender are both left blank or illegible, the tender is invalid. Subject to the conditions abovementioned, the tendered total of the Prices stated in the Form of Tender shall take precedence over the tendered total of the Prices stated in the Contract Data Part two and the Grand Summary and the same figure shall be correctly reinstated in the latter two documents for any discrepancy. If the tenderer has not inserted the tendered total of the Prices in Contract Data Part two, the tendered total of the Prices in Contract Data Part two shall be corrected to the tendered total of the Prices stated in the Form of Tender.
- 2.2 If there are errors in the *bill of quantities*, they shall be corrected as follows:
 - (i) Errors in casting of page totals in a bill of the *bill of quantities* shall be corrected and the rectified amounts shall be carried to the Grand Summary.
 - (ii) The extension may be amended to agree with the quantity and rate or (dependent on the judgement of the tender examiner) the rate may be amended to agree with the quantity and the extension but in no case will the alteration of both rate and extension be permitted.
 - (iii) Indistinct rates shall be clarified to agree with the quantity and the extension.
 - (iv) Where there is no extension or an illegible extension and no rate or an illegible rate has been inserted against any item or quantity in the *bill of quantities* it shall be deemed that the price of the item or quantity has been allowed for in rates entered elsewhere in the *bill of quantities* and the rate shall therefore be marked as zero.
 - (v) If one or more pages of the *bill of quantities* are found missing, subject to subparagraph (vii) below, the rates for all items in the missing page(s) shall be marked as zero and the prices shall be deemed to have been allowed for in rates entered elsewhere in the *bill of quantities*.
 - (vi) Should there be a tender addendum introducing changes to the *bill of quantities* but the changes have not been incorporated into the *bill of quantities* by a tenderer, then the changes as required by the tender addendum shall be incorporated into the tenderer's *bill of quantities* and the rates for those new items or modified items shall be determined as follows:

| Where new item is introduced | Rate for the new item shall be marked as zero and the price of the item shall be deemed to have been allowed for in rates entered elsewhere in the <i>bill of quantities</i> , unless it is an item pre-priced by the <i>Client</i> . For a pre-priced item, the same rate in the addendum shall be used. |
|---|---|
| Where the item description and/or quantity is changed | If a rate has been entered against the original item, the same rate shall be used. |
| Where an item is deleted | That item shall be deleted in accordance with the addendum. |
| Where the measurement unit is modified | If a rate has been entered against the original item, the rate shall be adjusted to fit in with the new unit. |

- (vii) Where the *bill of quantities* contains any pre-priced items and the tenderer fails to include any of them correctly in its *bill of quantities*, then such sum (or sums) shall be correctly reinstated in the *bill of quantities*.
- 2.3 After correcting all the errors in accordance with paragraph 2.2 above, the difference between:
 - (i) the tendered total of the Prices stated in the Form of Tender minus the total of any pre-priced items, and
 - (ii) the corrected total of all items in all bills of the *bill of quantities* minus the total of any pre-priced items

shall be calculated as a plus percentage of the sum at 2.3(ii) if 2.3(i) is greater than 2.3(ii), or as a minus percentage of the sum at 2.3(ii) if 2.3(i) is less than 2.3(ii).

- 2.4 Subject to paragraph 2.3, the plus or minus percentage shall be applied to the tendered rates including those corrected under paragraph 2.2 but excluding all pre-priced items.
- 2.5 After application of paragraphs 2.1 to 2.4 above, if the corrected total of the prices for Bill No. [XX]^{##} of the *bill of quantities* exceeds [YY%]^^ of the corrected total of the prices for Bill No. [XX]^{##} shall be further adjusted to the equivalent value of [YY%]^^ of the corrected total of the prices for all bills. The rates of the items in Bill No. [XX]^{##}, except for those pre-priced items, shall be adjusted in proportion according to the rates of those items before application of this rule. The difference between the adjusted rates and the rates before adjustment for Bill No. [XX]^{##} shall then be distributed to other items in all bills of the *bill of quantities* except for Bill No. [XX]^{##} and those pre-priced items, in proportion according to the rates of those items before application of front loading scenario but satisfying the cash flow requirement *if applicable*]

Please insert appropriate bill reference.^^ Please insert appropriate percentage to suit the project specific consideration.

- 2.6 The tender examiner may adjust the corrected rates for any round-off error in order to match with the tendered total of the Prices stated in the Form of Tender.
- 2.7 After correcting errors in accordance with the foregoing rules, the summary of all bills of the *bill of quantities* shall be endorsed as follows:

"In accordance with the correction rules set out in Appendix [*insert appropriate reference*] to the General Conditions of Tender as referred to in the General Conditions of Tender Clause GCT 11, all the rates and prices inserted by the tenderer in Bills No. [...] and [...] to [...] of the *bill of quantities* [except those pre-priced items] shall be corrected by% and% respectively for all purposes for which those rates and prices may be used under the contract."

- 2.7A After correcting all the errors in the manner abovementioned, the tendered total of the Prices in the Grand Summary shall be corrected to the corrected total of all bills of the *bill of quantities* in accordance with paragraph 2.7 above incorporated.
- 2.8 If error is found in the *fee percentage*, it shall be corrected as follows:
 - (i) If the *fee percentage* inserted by the tenderer in the Contract Data Part two exceeds the cap of *fee percentage* as stated in the Contract Data Part two, it shall be corrected to the cap of *fee percentage*. The Grand Summary including the forecast total of the Prices shall be adjusted accordingly.
 - (ii) If the *fee percentage* inserted by the tenderer in the Contract Data Part two is a negative percentage or lower than the *minimum fee percentage*, it shall be corrected to the *minimum fee percentage*. The Grand Summary including the forecast total of the Prices shall be adjusted accordingly.
 - (iii) If the tenderer has not inserted a *fee percentage* in the Contract Data Part two, the *fee percentage* shall be corrected to the *minimum fee percentage*. The Grand Summary including the forecast total of the Prices shall be adjusted accordingly.
 - (iv) If the *fee percentage* inserted by the tenderer in the Contract Data Part two is illegible, the *fee percentage* shall be corrected to the *minimum fee percentage*. The Grand Summary including the forecast total of the Prices shall be adjusted accordingly.
- 2.9 Where the Grand Summary contains contingency sum for Defined Cost for compensation events, *provisional sum for price adjustment for inflation under secondary Option X1, *provisional sum for provision of Assistant Clerical Officer (Labour Relations) and *provisional sum for performance-tied payment item under Pay for Safety Performance Merit Scheme (PFSPMS) under secondary Option X20 or any combination of them and the tenderer fails to include any or all of them correctly in the Grand Summary, then such sum (or sums) shall be correctly reinstated in the Grand Summary.

- 2.10 Where the Grand Summary contains contingency sum for Fee for compensation events, and sub-total of all contingency sums and provisional sums or any combination of them and arithmetical errors are found, then errors in calculation of such sum (or sums) shall be corrected in the Grand Summary.
- 2.11 After correcting all the errors in the manner abovementioned, the forecast total of the Prices shall be corrected to the sum of (i) the tendered total of the Prices in the Form of Tender and (ii) the sub-total of all corrected contingency sums and corrected provisional sums in the Grand Summary.
- 2.12 Where the Grand Summary is found missing, it shall be correctly reinstated and corrected according to paragraphs 2.1 to 2.11 above.

* Modify where appropriate

[Set III] *Section 2 – Errors in pricing document [for use in contract with activity schedule and correction rules similar to those for conventional re-measurement contracts]

- 2.1 Under no circumstances can the tendered prices for activities be changed. [Note: Please insert at the end ", except that the tendered price(s) for items in the activity schedule that are stipulated as subject to pre-bid arrangement shall be corrected as stipulated in Clause 2.2(vii) below" if pre-bid arrangement is adopted.]
- 2.2 If errors are found in the *activity schedule*, they shall be corrected as follows:
 - (i) Errors in extensions and casting of page totals in a schedule of the *activity schedule* shall be corrected and the rectified amounts shall be carried to the Grand Summary.
 - (ii) Where there is an extension but no rate or an illegible rate has been inserted against any provisional quantity for any re-measurement item in the *activity schedule* the rate is deemed to be the extension divided by the provisional quantity as rounded off to the nearest cent. [Optional]
 - (iii) Subject to sub-clause (ii) above, where there is no price or an illegible price has been inserted against any activity in the *activity schedule*, it shall be deemed that the price for the activity has been allowed in prices entered elsewhere in the *activity schedule* and the price shall therefore be marked as zero.
 - (iv) If one or more pages of the *activity schedule* are found missing, subject to subparagraph (vi) below, the prices for all activities in the missing page(s) shall be marked as zero and the prices shall be deemed to have been allowed for in prices entered elsewhere in the *activity schedule*.
 - (v) Should there be a tender addendum introducing changes to the *activity schedule* but the changes have not been incorporated into the *activity schedule* by a tenderer, then the changes as required by the tender addendum shall be incorporated into the tenderer's *activity schedule* and the prices for those new activities or modified activities shall be determined as follows:

| Where new activity is introduced | Price for the new activity shall be marked as zero and the price of the activity shall be deemed to have been allowed for in prices entered elsewhere in the <i>activity schedule</i> , unless it is an activity pre-priced by the <i>Client</i> . For a pre-priced activity, the same price in the addendum shall be used. |
|---|---|
| Where the activity description is changed | If a price has been entered against the original activity, the same price shall be used. |
| Where an activity is deleted | That activity shall be deleted in accordance with the addendum. |

| If a rate has been entered against the original item, the same rate shall be used. |
|---|
| If a rate has been entered against the original item, the rate shall be adjusted to fit in with the new unit. |

- (vi) Where the *activity schedule* contains any pre-priced activities and the tenderer fails to include any of them correctly in its *activity schedule*, then such sum (or sums) shall be correctly reinstated in the *activity schedule*.
- (vii) For any item stipulated as subject to pre-bid arrangement in Appendix [S] to the *additional conditions of contract*, the price quoted for the corresponding activity in the *activity schedule* shall be the same as the price quoted (or corrected, if applicable) in the "Amount" column for that item in the *pricing information* submitted by the tenderer. If there is any discrepancy between the two prices so quoted, the price for the item quoted in the *activity schedule* shall be corrected to follow the price for the item quoted in the *pricing information*. [Optional, applicable if pre-bid arrangement is adopted.]
- 2.3 After correcting all the errors in the manner abovementioned, the tendered total of the Prices in the Grand Summary shall be corrected to the corrected total of all schedules of the *activity schedule*. The tendered total of the Prices stated in the Form of Tender and the Contract Data Part two shall be corrected accordingly.
- 2.3A If the *activity schedule* does not require any correction, and if the tendered total of the Prices stated in the Grand Summary is different from that stated in the Form of Tender or the Contract Data Part two, the Grand Summary shall prevail and the latter shall be corrected accordingly.
- 2.3B If the tenderer has not inserted the tendered total of the Prices in Contract Data Part two, the tendered total of the Prices in Contract Data Part two shall be corrected to the tendered total of the Prices stated (or corrected, if applicable) in the Grand Summary.
- 2.4 If error is found in the *fee percentage*, it shall be corrected as follows:
 - (i) If the *fee percentage* inserted by the tenderer in the Contract Data Part two exceeds the cap of *fee percentage* as stated in the Contract Data Part two, it shall be corrected to the cap of *fee percentage*. The Grand Summary including the forecast total of the Prices shall be adjusted accordingly.
 - (ii) If the *fee percentage* inserted by the tenderer in the Contract Data Part two is a negative percentage or lower than the *minimum fee percentage*, it shall be corrected to the *minimum fee percentage*. The Grand Summary including the forecast total of the Prices shall be adjusted accordingly.
 - (iii) If the tenderer has not inserted a *fee percentage* in the Contract Data Part two, the *fee percentage* shall be corrected to the *minimum fee percentage*. The Grand Summary including the forecast total of the Prices shall be adjusted accordingly.

- (iv) If the *fee percentage* inserted by the tenderer in the Contract Data Part two is illegible, the *fee percentage* shall be corrected to the *minimum fee percentage*. The Grand Summary including the forecast total of the Prices shall be adjusted accordingly.
- 2.5 Where the Grand Summary contains contingency sum for Defined Cost for compensation events, *provisional sum for price adjustment for inflation under secondary Option X1, *provisional sum for provision of Assistant Clerical Officer (Labour Relations) and *provisional sum for performance-tied payment item under Pay for Safety Performance Merit Scheme (PFSPMS) under secondary Option X20 or any combination of them and the tenderer fails to include any or all of them correctly in the Grand Summary, then such sum (or sums) shall be correctly reinstated in the Grand Summary.
- 2.6 Where the Grand Summary contains contingency sum for Fee for compensation events, and sub-total of all contingency sums and provisional sums or any combination of them and arithmetical errors are found, then errors in calculation of such sum (or sums) shall be corrected in the Grand Summary.
- 2.7 After correcting all the errors in the manner abovementioned, the forecast total of the Prices shall be corrected to the sum of (i) the corrected tendered total of the Prices and (ii) the sub-total of all corrected contingency sums and corrected provisional sums in the Grand Summary.
- 2.8 Where the Grand Summary is found missing, it shall be correctly reinstated and corrected according to paragraphs 2.1 to 2.7 above.

* Modify where appropriate.

Section 3 - Errors in pricing information [for use in contracts adopting pre-bid arrangement]

- 3.1 If errors are found in the *pricing information*, they shall be corrected as follows:
 - (i) Errors in extensions and casting of page totals in a schedule of the Schedule of Rates for an item stipulated as subject to pre-bid arrangement shall be corrected and the rectified amounts shall be carried to the summary page of the Schedule of Rates.
 - (ii) Where there is an extension but no rate or an illegible rate has been inserted against any item or quantity in the Schedule of Rates for an item stipulated as subject to pre-bid arrangement, the rate shall be deemed to be the extension divided by the quantity as rounded off to the nearest cent.
 - (iii) Where there is no extension or an illegible extension and no rate or an illegible rate has been inserted against any item or quantity in the Schedule of Rates for an item stipulated as subject to pre-bid arrangement, it shall be deemed that the price of the item or quantity has been allowed for in rates entered elsewhere in the Schedule of Rates and the rate shall therefore be marked as zero.
 - (iv) After correcting all errors in the manner abovementioned, the total amount for each item stipulated as subject to pre-bid arrangement and stated in the summary page of the Schedule of Rates shall, where applicable, be corrected to the corrected total of the relevant schedule(s) of the Schedule of Rates.
 - (v) Any errors found in the calculation of (a) the resulting Fee and (b) the total amount to be brought forward to the *activity schedule* shall be corrected using the *fee percentage* (or its corrected value if it is corrected) and total of all schedules of the Schedule of Rates (or its corrected value if it is corrected) in the summary page of the Schedule of Rates.
 - (vi) The price for each item of the *activity schedule* that is subject to pre-bid arrangement, the tendered total of the Prices and the forecast total of the Prices as stated in the Grand Summary of the *activity schedule*, and the tendered total of the Prices stated in the Form of Tender and the Contract Data Part two shall be corrected accordingly.
 - (vii) Under no circumstances can the tendered rates be changed.

[Set IV] *Section 2 – Errors in pricing document [for use in contracts with bill of quantities and correction rules similar to those for conventional re-measurement contracts]

- 2.1 Under no circumstances can the tendered rates be changed. [Note: Please insert at the end ", except that the tendered price(s) for item(s) stipulated as subject to pre-bid arrangement shall be corrected as stipulated in Clause 2.2(vii) below" if pre-bid arrangement is adopted.]
- 2.2 If errors are found in the *bill of quantities*, they shall be corrected as follows:
 - (i) Errors in extensions and casting of page totals in a bill of the *bill of quantities* shall be corrected and the rectified amounts carried to the Grand Summary.
 - (ii) Where there is an extension but no rate or an illegible rate has been inserted against any quantity in the *bill of quantities* the rate is deemed to be the amount divided by the quantity as rounded off to the nearest cent.
 - (iii) Where there is no extension or an illegible extension and no rate or an illegible rate has been inserted against any item or quantity in the *bill of quantities* it shall be deemed that the price of the item or quantity has been allowed for in rates entered elsewhere in the *bill of quantities* and the rate shall therefore be marked as zero.
 - (iv) If one or more pages of the *bill of quantities* are found missing, subject to subparagraph (vi) below, the rates for all items in the missing page(s) shall be marked as zero and the prices shall be deemed to have been allowed for in rates entered elsewhere in the *bill of quantities*.
 - (v) Should there be a tender addendum introducing changes to the *bill of quantities* but the changes have not been incorporated into the *bill of quantities* by a tenderer, then the changes as required by the tender addendum shall be incorporated into the tenderer's *bill of quantities* and the rates for those new items or modified items shall be determined as follows:

| Where new item is introduced | Rate for the new item shall be marked as zero and the price of the item shall be deemed to have been allowed for in rates entered elsewhere in the <i>bill of quantities</i> , unless it is an item pre-priced by the <i>Client</i> . For a pre-priced item, the same rate in the addendum shall be used. |
|---|---|
| Where the item description and/or quantity is changed | If a rate has been entered against the original item of work, the same rate shall be used. |
| Where an item is deleted | That item shall be deleted in accordance with the addendum. |

| Where the measurement unit is | If a rate has been entered against the | |
|-------------------------------|--|--|
| modified | original item of work, the rate shall be | |
| | adjusted to fit in with the new unit. | |
| | | |

- (vi) Where the *bill of quantities* contains any pre-priced items and the tenderer fails to include any of them correctly in its *bill of quantities*, then such sum (or sums) shall be correctly reinstated in the *bill of quantities*.
- (vii) For any item stipulated as subject to pre-bid arrangement in Appendix [S] to the *additional conditions of contract*, the price quoted for the corresponding item in the *bill of quantities* shall be the same as the price quoted (or corrected if applicable) in the "Amount" column for that item in the *pricing information* submitted by the tenderer. If there is any discrepancy between the two prices so quoted, the price for the item quoted in the *bill of quantities* shall be corrected to follow the price for the item quoted in the *pricing information*. [Optional, applicable if pre-bid arrangement is adopted.]
- 2.3 After correcting all the errors in the manner abovementioned, the tendered total of the Prices in the Grand Summary shall be corrected to the corrected total of all bills of the *bill of quantities*. The tendered total of the Prices stated in the Form of Tender and the Contract Data Part two shall be corrected accordingly.
- 2.3A If the *bill of quantities* does not require any correction, and if the tendered total of the Prices stated in the Grand Summary is different from that stated in the Form of Tender or the Contract Data Part two, the Grand Summary shall prevail and the latter shall be corrected accordingly.
- 2.3B If the tenderer has not inserted the tendered total of the Prices in Contract Data Part two, the tendered total of the Prices in Contract Data Part two shall be corrected to the tendered total of the Prices stated (or corrected, if applicable) in the Grand Summary.
- 2.4 If error is found in the *fee percentage*, it shall be corrected as follows:
 - (i) If the *fee percentage* inserted by the tenderer in the Contract Data Part two exceeds the cap of *fee percentage* as stated in the Contract Data Part two, it shall be corrected to the cap of *fee percentage*. The Grand Summary including the forecast total of the Prices shall be adjusted accordingly.
 - (ii) If the *fee percentage* inserted by the tenderer in the Contract Data Part two is a negative percentage or lower than the *minimum fee percentage*, it shall be corrected to the *minimum fee percentage*. The Grand Summary including the forecast total of the Prices shall be adjusted accordingly.
 - (iii) If the tenderer has not inserted a *fee percentage* in the Contract Data Part two, the *fee percentage* shall be corrected to the *minimum fee percentage*. The Grand Summary including the forecast total of the Prices shall be adjusted accordingly.
 - (iv) If the *fee percentage* inserted by the tenderer in the Contract Data Part two is illegible, the *fee percentage* shall be corrected to the *minimum fee percentage*. The Grand Summary including the forecast total of the Prices shall be adjusted accordingly.

- 2.5 Where the Grand Summary contains contingency sum for Defined Cost for compensation events, *provisional sum for price adjustment for inflation under secondary Option X1, *provisional sum for provision of Assistant Clerical Officer (Labour Relations) and *provisional sum for performance-tied payment item under Pay for Safety Performance Merit Scheme (PFSPMS) under secondary Option X20 or any combination of them and the tenderer fails to include any or all of them correctly in the Grand Summary, then such sum (or sums) shall be correctly reinstated in the Grand Summary.
- 2.6 Where the Grand Summary contains contingency sum for Fee for compensation events, and sub-total of all contingency sums and provisional sums or any combination of them and arithmetical errors are found, then errors in calculation of such sum (or sums) shall be corrected in the Grand Summary.
- 2.7 After correcting all the errors in the manner abovementioned, the forecast total of the Prices shall be corrected to the sum of (i) the corrected tendered total of the Prices and (ii) the sub-total of all corrected contingency sums and corrected provisional sums in the Grand Summary.
- 2.8 Where the Grand Summary is found missing, it shall be correctly reinstated and corrected according to paragraphs 2.1 to 2.7 above.

* Modify where appropriate.

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- 3.1 If errors are found in the *pricing information*, they shall be corrected as follows:
 - (i) Errors in extensions and casting of page totals in a schedule of the Schedule of Rates for an item stipulated as subject to pre-bid arrangement shall be corrected and the rectified amounts shall be carried to the summary page of the Schedule of Rates.
 - (ii) Where there is an extension but no rate or an illegible rate has been inserted against any item or quantity in the Schedule of Rates for an item stipulated as subject to pre-bid arrangement, the rate shall be deemed to be the extension divided by the quantity as rounded off to the nearest cent.
 - (iii) Where there is no extension or an illegible extension and no rate or an illegible rate has been inserted against any item or quantity in the Schedule of Rates for an item stipulated as subject to pre-bid arrangement, it shall be deemed that the price of the item or quantity has been allowed for in rates entered elsewhere in the Schedule of Rates and the rate shall therefore be marked as zero.
 - (iv) After correcting all errors in the manner abovementioned, the total amount for each item stipulated as subject to pre-bid arrangement and stated in the summary page of the Schedule of Rates shall, where applicable, be corrected to the corrected total of the relevant schedule(s) of the Schedule of Rates.
 - (v) Any errors found in the calculation of (a) the resulting Fee and (b) the total amount to be brought forward to the *bill of quantities* shall be corrected using the fee percentage (or its corrected value if it is corrected) and total of all schedules of the Schedule of Rates (or its corrected value if it is corrected) in the summary page of the Schedule of Rates.
 - (vi) The price inserted in the *bill of quantities* for each item stipulated as subject to pre-bid arrangement, the tendered total of the Prices and the forecast total of the Prices as stated in the Grand Summary of the *bill of quantities*, and the tendered total of the Prices stated in the Form of Tender and the Contract Data Part two shall be corrected accordingly.
 - (vii) Under no circumstances can the tendered rates be changed.